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(71) Applicant (for all designated States except US): ACTO-
GENIX NV [BE/BE]; Technologiepark 4, B-9052 Zwij-
naarde (BE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): ROTTIERS,
Pieter [BE/BE]; Twee Dreven 37, B-9840 De Pinte (BE).
SNOECK, Veerle [BE/BE]; Wannegemstraat 20, B-9750
Zingem (BE).

(74) Agent: BRANTS, Johan, Philippe, Emile; De Clercq
Brants & Partners, Edgard Gevaertdreef 10a, B-9830 Sint-
Martens-Latem (BE).

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(54) Title: INDUCTION OF MUCOSAL TOLERANCE TO ANTIGENS

(57) Abstract: The present invention relates to the induction of tolerance to antigens, by mucosal, preferably oral delivery of the antigen in combination with an immunomodulating compound producing micro-organism. More specifically, the invention relates to the induction of Foxp3⁺ and/or IL-10 and/or TGF- β producing regulatory T-cells, capable of suppressing undesired immune responses toward an antigen, by oral delivery of said antigen in combination with an immunosuppressing cytokine secreting micro-organism.

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The present invention relates to the induction of tolerance to antigens, by mucosal, preferably oral delivery of the antigen in combination with an immuno-modulating compound producing
5 micro-organism. More specifically, the invention relates to the induction of Foxp3⁺ and/or IL-10 and/or TGF- β producing antigen-specific regulatory T-cells, capable of suppressing undesired immune responses toward an antigen, by oral delivery of said antigen in combination with an immuno-suppressing cytokine secreting micro-organism.

10 *Field of the Invention*

The immune system has the task of distinguishing between self and non-self. The mucosal immune system, present along the respiratory, gastrointestinal and genitourinary tracts, has the additional burden of coexisting with an abundance of bacteria and innocuous antigens, such as food, airborne antigens or the commensally bacterial flora. A key feature of the
15 mucosal immune system is its ability to remain tolerant to these antigens while retaining the capacity to repel pathogens effectively. Introduction of antigen systemically, whether by injection or injury, leads to local infiltration of inflammatory cells and specific immunoglobulin production. By contrast, antigens introduced at mucosal surfaces, such as the gastrointestinal and genitourinary tracts, elicit active inhibition of the immune response to those antigens
20 systemically. The specific induction of these regulated responses by administration of antigen through the gastrointestinal tract is known as oral tolerance. Oral administration of antigen can lead to systemic unresponsiveness and is an attractive alternative to immunosuppressive medical inventions that have undesirable side-effects (such as steroids). The invention lies in particular in the field of low-dose tolerance, obtained by repeated exposure to low doses of
25 antigen. Tolerance inductions via the mucosa have been proposed as a treatment strategy against autoimmune, allergic and inflammatory diseases.

State of the Art

Although oral tolerance was first described in 1911, it was not until the later 1970s that
30 investigators started to address the mechanisms involved (Mayer and Shao, 2004a). Several mechanisms have been proposed for the development of oral tolerance, ranging from the deletion of anti-specific T-cells, over immune deviation and induction of anergy to suppression by Tregs (Mucida *et al.*, 2005). Most investigators agree that there are two distinct ways of obtaining oral tolerance, the high-dose tolerance, obtained after a single high dose of antigen,
35 which is based on anergy and/or deletion (Friedman and Weiner, 1994), and the low-dose tolerance, obtained by repeated exposure to low doses of antigen, mediated by active suppression of immune responses by CD4⁺ T-cells, including Foxp3⁺, IL-10 and/or TGF- β

producing regulatory T-cells. Importantly, regulatory T cells induced through mucosal tolerance have been shown to mediate bystander suppression, a process through which regulatory cells specific for one protein suppress the response of nearby effector cells to another protein. Bystander suppression is an important feature of antigen-induced suppression because the pool of antigens that induce organ-specific autoimmunity are largely unknown, and it overrides the phenomenon of epitope spreading. Epitope spreading is a complication of autoimmune and allergic diseases whereby the initiating immune response expands with time to include responses to other antigens.

10 The role of dendritic cells in the induction of oral tolerance has been alluded to through studies showing enhanced oral tolerance following Flt3L-driven expansion of DC (Viney *et al.* 1998) and RANK-L-mediated DC activation (Williamson *et al.*, 2002) in vivo. In particular, immature dendritic cells can mediate tolerance, presumably by induction of regulatory T cells. Moreover, IL-10 can modulate the function of immature dendritic cells and inhibit their terminal
15 differentiation, amplifying the local presence of tolerizing dendritic cells involved in the induction of regulatory T cells (De Smedt *et al.* 1997).

Mucosal tolerance induction has been evaluated in numerous experimental models of allergy and autoimmune disease, but clinical data from trials in humans have been generally
20 disappointing. A number of attempts have been made to deliver antigens, whether or not in combination with an immuno-modulating compound, in order to achieve an oral tolerance, but the effect is in most cases not significant. In any event, the results are not sufficient for the methods to be translated to humans. The major problem in all these experiments is that no active suppression is being observed through the induction of CD4⁺ T-cells and subsequent
25 production of antigen specific regulatory T-cells. Only if this is being observed, a true and active suppression of immune response to antigens can be obtained in humans.

Targeted and more efficient delivery of molecules for therapeutic and prophylactic applications is a priority for the pharmaceutical industry. Effective strategies should reduce the required
30 dose, increase safety and improve efficacy by focusing molecules at the desired site of action. Mucosal routes of drug and vaccine delivery offer a number of logistical and biological advantages compared with injection. Oral delivery is particularly attractive as a result of the ease of administration. However, gastrointestinal degradation and low levels of absorption generally render this route of peptide and protein drug delivery ineffective. Alternative mucosal
35 routes such as the nasal, rectal, pulmonary and ocular routes are also being investigated. Mucosal delivery of protein and peptide vaccine antigens generally stimulates poor immune responses and may induce immunological tolerance.

Mucosal delivery of IL-4, TGF- β , IL-10 (Slavin *et al.*, 2001) and anti-IL-12 have all been hypothesized to enhance tolerance. Interleukin-10 (IL-10) plays a critical role in the development of low-dose tolerance (Slavin *et al.*, 2001; Mauer *et al.*, 2003). It has been shown that treatment of mice with low-dose oral myelin basic protein and simultaneous oral IL-10
5 reduces the severity and incidence of experimental autoimmune encephalomyelitis, but the therapeutic effect is low and far from sufficient to be effective in human. In these experiments the amount of IL-10 feeding is high (1 μ g to 10 μ g), while the figures suggest that administering higher doses is more effective. Although a suppressive effect was observed of 0.1 μ g IL-10 *in vitro* on proliferation, IL-12 and IFN- γ secretion, no effect of 0.1 μ g IL-10 plus MBP treatment
10 was seen upon disease. The same mice experiments have been done with oral administration of IL-10 combined with low-dose oral myelin oligodendrocyte glycoprotein (MOG), which resulted in reduced relapses in a MOG-induced mouse model. Also here the therapeutic effect is low and the amount of IL-10 feeding high, showing figures that using higher doses is more effective. In both experiments there is no, or at least insufficient, active suppression of an
15 immune response via a long-lasting immune tolerance to be effective in humans. In particular, because to assert a real therapeutic effect, sufficient to be translated to humans, an induction of antigen specific CD4⁺ T-cells should be observed, finally resulting in a production of regulatory T-cells. Only such mechanism will be able to actively suppress the immune response in humans. In all of the aforementioned examples no induction of CD4⁺ T-cells has
20 been observed.

It is generally agreed that the microflora plays a role in the induction of oral tolerance (Moreau and Corthier, 1988; Gaboriau-Routhiau *et al.*, 2003). Di Giacinto *et al.* (2005) suggest that probiotics may induce IL-10 and IL-10-dependent TGF- β - bearing regulatory cells. However,
25 how this effect is exerted is far from clear, and the simple presence of micro-organisms in the gut is not sufficient (Rask *et al.*, 2005). Moreover, although probiotics may improve the symptoms of allergy and asthma, the results are not always unambiguous and the use of probiotics alone is not sufficient to induce a reliable oral tolerance response. Several attempts have been made to deliver low-dose antigens via lactic acid bacteria to prevent an allergic
30 immune response (Daniel *et al.*, 2006) and which led to reduced allergen specific IgE and enhanced allergen-specific secretory IgA responses. Although a desired shift in the immune balance from T helper-2 type response towards a more T helper-1 response is being achieved in mouse, there are no significant improvements over the delivery of free allergens. In general, such an approach of a sole delivery of allergens will not be sufficient to achieve the same
35 result in humans. This is due to the fact that such strategies will require a very long period of intermittent treatments, while an induction of regulatory T-cells is not achieved, or at least not sufficiently to install a regulatory compartment to achieve a true, active and long-lasting

immune tolerance effect. In another example the oral administration of recombinant lactobacilli expressing myelin antigens resulted in a reduced experimental autoimmune encephalomyelitis in a mouse model (Maassen *et al.*, 2003). However, the therapeutic effect is considered to be low and not sufficient to be translated to humans. In particular, because to assert a real
5 therapeutic effect, sufficient to be translated to humans, an induction of antigen specific CD4⁺ T-cells should be observed, finally resulting in a production of regulatory T-cells. Only such mechanism will be able to actively suppress the immune response in humans. In all of the aforementioned examples no induction of CD4⁺ T-cells has been examined.

10 Thus, there remains a problem in the art to effectively induce tolerance of antigens.

Summary of the Invention

Surprisingly, we found that the mucosal delivery of an antigen, in combination of the mucosal delivery of micro-organism producing an immuno-modulating compound can induce a stable
15 mucosal tolerance response, preferably if such antigen is expressed by a micro-organism and preferably if such mucosal delivery is done orally. We observed that the mucosal delivery of such combination gives a significantly better suppression of the antigen-specific immune response in comparison to the sole mucosal delivery of antigen expressing micro-organism. Even more surprisingly, the immune suppression obtained through the invention is significantly
20 more effective than compared to oral delivery of free immuno-modulating compounds whether or in combination with the oral delivery of antigens.

We demonstrate that the invention can induce oral tolerance with much more higher efficiency than with monotherapy with antigen or IL-10 producing *L. lactis* alone, or than antigen
25 combined with free orally administered IL-10. In vivo activation of antigen-specific regulatory T cells was strongly enhanced. These cells transfer dominant tolerance to immuno-competent recipients and mediate even bystander suppression. The efficacy of the invention was demonstrated in autoimmune and allergic disease mouse models, as well as in the context of immune inactivation of therapeutics.

30

Detailed Description of the Invention

Throughout this disclosure, various publications, patents and published patent specifications are referenced by an identifying citation. The disclosures of these publications, patents and published patent specifications are hereby incorporated by
35 reference into the present disclosure to describe more fully the state of the art to which this invention pertains.

A. General Techniques

The practice of the present invention will employ, unless otherwise indicated, conventional techniques of organic chemistry, pharmacology, molecular biology (including recombinant techniques), cell biology, biochemistry, and immunology, which are within the skill of the art. Such techniques are explained fully in the literature, such as, "Molecular Cloning: A Laboratory Manual" Second Edition (Sambrook et al., 1989); "Oligonucleotide Synthesis" (M. J. Gait, ed., 1984); "Animal Cell Culture" (R. I. Freshney, ed., 1987); the series "Methods in Enzymology" (Academic Press, Inc.); "Handbook of Experimental Immunology" (D. M. Weir & C. C. Blackwell, eds.); "Gene Transfer Vectors for Mammalian Cells" (J. M. Miller & M. P. Calos, eds., 1987); "Current Protocols in Molecular Biology" (F. M. Ausubel et al., eds., 1987, and periodicals) "Polymerase Chain Reaction" (Mullis et al., eds., 1994); and "Current Protocols in Immunology" (J. E. Coligan et al., eds., 1991).

B. Definitions

As used herein, certain terms may have the following defined meanings. As used in the specification and claims, the singular forms "a", "an" and "the" include plural references unless the context clearly dictates otherwise. For example, the term "a cell" includes a plurality of cells, including mixtures thereof. Similarly, use of "a compound" for treatment or preparation of medicaments as described herein contemplates using one or more compounds of this invention for such treatment or preparation unless the context clearly dictates otherwise.

As used herein, the term "comprising" is intended to mean that the compositions and methods include the recited elements, but not excluding others. "Consisting essentially of" when used to define compositions and methods, shall mean excluding other elements of any essential significance to the combination. Thus, a composition consisting essentially of the elements as defined herein would not exclude trace contaminants from the isolation and purification method and pharmaceutically acceptable carriers, such as phosphate buffered saline, preservatives, and the like.

"Consisting of" shall mean excluding more than trace elements of other ingredients and substantial method steps for administering the compositions of this invention.

Embodiments defined by each of these transition terms are within the scope of this invention.

A first aspect of the invention is a method for inducing immune tolerance to an antigen, comprising mucosal delivery of said antigen, in combination with mucosal delivery of an immuno-modulating compound producing micro-organism.

- 5 Preferably, the present invention relates to the use of an immuno-modulating compound producing micro-organism in combination with an antigen for the preparation of a medicament for mucosal delivery to induce immune tolerance.

- Preferably, said immune tolerance is induced in an animal. Said animal is a mammal, and
10 preferably chosen from the group consisting of mouse, rat, pig, cow, sheep, horses and human. Preferably, said mammal is human.

Preferably, said immune tolerance is mucosal tolerance.

- 15 *Mucosa* as used here can be any mucosa such as oral mucosa, rectal mucosa, urethral mucosa, vaginal mucosa, ocular mucosa, buccal mucosa, pulmonary mucosa and nasal mucosa. *Mucosal delivery* as used throughout the application encompasses the delivery to the mucosa. Oral mucosal delivery includes buccal, sublingual and gingival routes of delivery. Accordingly, the present invention relates to method in which said mucosal delivery is chosen
20 from the group consisting of rectal delivery, buccal delivery, pulmonary delivery, ocular delivery, nasal delivery, vaginal delivery and oral delivery. Preferably, said mucosal delivery is oral delivery and said tolerance is oral tolerance.

- Mucosal tolerance* as used here throughout the application is the inhibition of specific immune
25 responsiveness to an antigen in an animal (including humans), after that said animal has been exposed to said antigen via the mucosal route. Preferably, said mucosal tolerance is systemic tolerance. The subsequent exposure of the antigen can be every exposure known to the person skilled in the art, such as exposure by parenteral injection, by mucosal delivery, or by endogenous production such as in the case of auto-antigens. *Oral tolerance* is the inhibition of
30 specific immune responsiveness to an antigen in an animal (including humans), after that said animal has been exposed to said antigen via the oral route. *Low dose oral tolerance* is oral tolerance induced by low doses of antigens, and is characterized by active immune suppression, mediated by cyclophosphamide sensitive regulatory T-cells that can transfer tolerance to naïve hosts. *High dose oral tolerance* is oral tolerance induced by high doses of
35 antigens, is insensitive to cyclophosphamide treatment, and proceeds to induction of T cell hyporesponsiveness via anergy and/or deletion of antigen specific T-cells. The difference in sensitivity to cyclophosphamide can be used to make a distinction between low dose and high

dose tolerance (Strobel *et al.*, 1983). Preferably, said oral tolerance is low dose oral tolerance as described by Mayer and Shao (2004b).

The present invention thus relates to a method or use as described herein, wherein said
5 induction of immune tolerance is at least 1.5, preferably 2, more preferably 3 times or more
relative to before said induction. Alternatively, said antigen is tolerated at least 1.5, 2, 3 times
or more relative to before said induction. The induction of immune tolerance can be measured
by methods known in the art. Preferably, said induction of immune tolerance can be measured
by modulation of a cytokine level in said animal. As such, the modulation can be an increase of
10 a cytokine level, for instance said increase of a cytokine level is at least 1.5, 2, 3 times or more
relative to before said induction. Alternatively, said modulation is a decrease of the level of a
particular cytokine level, for instance said decrease of the cytokine level is at least 1.5, 2, 3
times or more relative to before said induction. The cytokines may be chosen from any
relevant cytokines, preferably said cytokines are chosen from the group consisting of IL-2, IL-4,
15 IL-6, IL-10, IL-12, TNF- α , IFN- γ , IFN- α , MCP-1, TGF β , RANK-L and Flt3L.

An antigen can be any antigen known to the person skilled in the art. An *antigen* as used here
throughout the application is preferably any substance that provokes an immune response
when introduced in the body of an animal, wherein said immune response can be T-cell
20 mediated and/or a B-cell mediated response. T-cell mediated responses cover Th1 and/or Th2
responses. The antigen can be any antigen, such as, but not limited to allergens (including
food allergens), allo-antigens, self-antigens, auto-antigens, and therapeutic molecules or
antigens that induce an immune response. Preferably, said antigen is involved in the induction
of immune response related diseases. Even more preferably, said antigen is involved in the
25 induction of allergic asthma, multiple sclerosis, type I diabetes, autoimmune uveitis,
autoimmune thyroiditis, autoimmune myasthenia gravis, rheumatoid arthritis, food allergy,
celiac disease or graft versus host disease.

An immune response related disease as used here is a disease caused by an unwanted
immune response of the body against an antigen, whereby said antigen can be either a
30 heterologous antigen or an auto-antigen. Immune response related diseases include, but are
not limited to allergic reaction including food allergy, celiac disease, allergic asthma,
autoimmune uveitis, autoimmune thyroiditis, autoimmune myasthenia gravis, rheumatoid
arthritis, type I diabetes and multiple sclerosis. Immune response related diseases also include
unwanted immune reactions such as graft versus host disease or immuno-activation of
35 medication such as the antibody production against non endogenous Factor VIII. Preferably,
the disease is selected from the group consisting of allergic asthma, food allergy, celiac
disease, type I diabetes and immune inactivation of therapeutics. It will thus be appreciated

that immune response related diseases include, but are not limited to allergic reaction including food allergy, celiac disease, allergic asthma, autoimmune uveitis, autoimmune thyroiditis, autoimmune myasthenia gravis, rheumatoid arthritis, type I diabetes and multiple sclerosis. Immune response related diseases also include unwanted immune reactions such as graft versus host disease or immuno-activation of medication such as the antibody production against non endogenous Factor VIII. Preferably, the disease is selected from the group consisting of allergic asthma, food allergy, celiac disease, graft versus host disease, type I diabetes and immune inactivation of therapeutics.

- 10 In further embodiments, said antigen is delivered by an antigen expressing micro-organism. Preferably said antigen is delivered by an antigen secreting or antigen displaying micro-organism. Thus, the invention relates to a method as described herein wherein said antigen is displayed at the surface of said antigen expressing micro-organism or wherein said antigen is expressed. The immuno-modulating compound and the antigen may be delivered by the same
15 micro-organism, or it may be a different micro-organism.

In view of the above, it will thus be appreciated that the present invention relates to method or use as described herein, wherein said method or use is therapeutic and/or prophylactic.

- 20 *Compound* means any chemical or biological compound or complex, including simple or complex organic and inorganic molecules, peptides, peptido-mimetics, proteins, protein complexes, antibodies, carbohydrates, nucleic acids or derivatives thereof. An *immuno-modulating compound* is a compound that modifies the function of the immune system. An immuno-modulating compound as used here is a tolerance inducing compound; tolerance
25 induction can be obtained, as a non-limiting example, in a direct way by inducing regulatory T-cells such as Treg, Tr1 or Th3, or by shifting the Th1/Th2 balance towards Th1, or in an indirect way, by activation of immature dendritic cells to tolerizing dendritic cells and/or inhibiting Th2 immune response inducing expression of "co-stimulation" factors on mature dendritic cells. Immuno-modulating and immuno-suppressing compounds are known to the
30 person skilled in the art and include, but are not limited to bacterial metabolites such as spargualin, fungal and streptomycal metabolites such as tacrolimus or ciclosporin, immuno-suppressing cytokines such as IL-4, IL-10, IFN γ , TGF β (as selective adjuvant for regulatory T-cells) Flt3L, TSLP and Rank-L (as selective tolerogenic DC inducers), antibodies and/or antagonist such as anti-CD40L, anti-CD25, anti-CD20, anti-IgE, anti-CD3 and proteins,
35 peptides or fusion proteins such as the CTL-4 Ig or CTLA-4 agonist fusion protein.

Thus, the immuno-modulating compound can be any immuno-modulating compound known to the person skilled in the art. Preferably, said immuno-modulating compound is an immuno-suppressing compound, even more preferably said compound is an immuno-suppressing cytokine or antibody. Preferably, said immuno-suppressing cytokine is a tolerance-enhancing
5 cytokine or antibody. Immuno-suppressing cytokines are known to the person skilled in the art, and include, but are not limited to IL-4, IL-10, IFN- α and TGF β , as selective adjuvant for regulatory T-cells; and Flt3L, TSLP and Rank-L, as selective tolerogenic DC inducers. Preferably, said immuno-suppressing cytokine is selected from the group consisting of IL-4, IL-10, IFN α and Flt3L. It will be appreciated by the person skilled in the art that the present
10 invention also relates to functional homologues thereof. A functional homologue connotes a molecule having essentially the same or similar, at least for the intended purposes, function, but can differ structurally. Most preferably, said immuno-suppressing tolerance-enhancing cytokine is IL-10, or a functional homologue thereof. Preferably, said immuno-suppressing antibody is chosen from the group consisting of anti-IL-2, anti-IL12, anti-IL6 anti-IFN- γ .

15

Delivery as used here means any method of delivery known to the person skilled in the art and includes, but is not limited to, coated or non-coated pharmaceutical formulations of the compound to deliver, capsules, liposomes, oil bodies, polymer particles comprising or carrying the compound to deliver or micro-organisms secreting, displaying or accumulating the
20 compound to deliver, optionally in presence of compounds that may enhance mucosal delivery and/or mucosal uptake.

Compounds or compositions described herein may be administered in pure form, combined with other active ingredients, or combined with pharmaceutically acceptable nontoxic
25 excipients or carriers. Oral compositions will generally include an inert diluent carrier or an edible carrier. Pharmaceutically compatible binding agents, and/or adjuvant materials can be included as part of the composition. Tablets, pills, capsules, troches and the like can contain any of the following ingredients, or compounds of a similar nature: a binder such as microcrystalline cellulose, gum tragacanth or gelatin; an excipient such as starch or lactose, a
30 dispersing agent such as alginic acid, Primogel, or corn starch; a lubricant such as magnesium stearate; a glidant such as colloidal silicon dioxide; a sweetening agent such as sucrose or saccharin; or a flavoring agent such as peppermint, methyl salicylate, or orange flavoring. When the dosage unit form is a capsule, it can contain, in addition to material of the above type, a liquid carrier such as a fatty oil. In addition, dosage unit forms can contain various other
35 materials that modify the physical form of the dosage unit, for example, coatings of sugar, shellac, or enteric agents. Further, a syrup may contain, in addition to the active compounds, sucrose as a sweetening agent and certain preservatives, dyes, colorings, and flavorings. It

will be appreciated that the form and character of the pharmaceutically acceptable carrier is dictated by the amount of active ingredient with which it is to be combined, the route of administration and other well-known variables. The carrier(s) must be "acceptable" in the sense of being compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

Alternative preparations for administration include sterile aqueous or nonaqueous solutions, suspensions, and emulsions. Examples of non-aqueous solvents are dimethylsulfoxide, alcohols, propylene glycol, polyethylene glycol, vegetable oils such as olive oil and injectable organic esters such as ethyl oleate. Aqueous carriers include mixtures of alcohols and water, buffered media, and saline. Intravenous vehicles include fluid and nutrient replenishers, electrolyte replenishers, such as those based on Ringer's dextrose, and the like. Preservatives and other additives may also be present such as, for example, antimicrobials, anti-oxidants, chelating agents, inert gases, and the like. Various liquid formulations are possible for these delivery methods, including saline, alcohol, DMSO, and water based solutions.

Preferably said immuno-suppressing cytokine is expressed in low amounts, preferably 0.1 μ g or lower per dose bacteria administered in a mice experimental setting, such amounts to be translated in a human disease setting. We demonstrate that the invention can induce oral tolerance with much more higher efficiency than with monotherapy with antigen or IL-10 producing micro-organism, such as *L. lactis* alone, or than antigen combined with free orally administered IL-10. *In vivo* activation of antigen-specific regulatory T cells was strongly enhanced. These cells transfer dominant tolerance to immuno-competent recipients and mediate even bystander suppression. The efficacy of the invention was demonstrated in autoimmune and allergic disease mouse models, as well as in the context of immune inactivation of therapeutics.

The terms "treatment", "treating", and the like, as used herein include amelioration or elimination of a developed mental disease or condition once it has been established or alleviation of the characteristic symptoms of such disease or condition. As used herein these terms also encompass, depending on the condition of the patient, preventing the onset of a disease or condition or of symptoms associated with a disease or condition, including reducing the severity of a disease or condition or symptoms associated therewith prior to affliction with said disease or condition. Such prevention or reduction prior to affliction refers to administration of the compound or composition of the invention to a patient that is not at the time of administration afflicted with the disease or condition. "Preventing" also encompasses preventing the recurrence or relapse-prevention of a disease or condition or of symptoms

associated therewith, for instance after a period of improvement. It should be clear that mental conditions may be responsible for physical complaints. In this respect, the term "treating" also includes prevention of a physical disease or condition or amelioration or elimination of the developed physical disease or condition once it has been established or alleviation of the characteristic symptoms of such conditions.

As used herein, the term "medicament" also encompasses the terms "drug", "therapeutic", "potion" or other terms which are used in the field of medicine to indicate a preparation with therapeutic or prophylactic effect.

It will be appreciated that the compounds of the invention, i.e. the antigen and the immuno-modulating molecule are delivered or expressed in a therapeutically effective amount. As used herein, the term "therapeutically effective amount" is meant to refer to an amount of a compound or composition of the present invention that will elicit a desired therapeutic or prophylactic effect or response when administered according to the desired treatment regimen. Preferably the compounds or composition is provided in a unit dosage form, for example a tablet, capsule or metered aerosol dose, so that a single dose is administered to the subject, e.g. a patient.

In combination with, as used her throughout the application implies, at a certain moment, the simultaneous presence of the antigen and the immuno-modulating compound at the level of the mucosa. It does not imply that both antigen and immuno-modulating compound always need to be present simultaneously at mucosal level. Therefore, the method covers both simultaneous administration of antigen and immuno-modulating compound producing micro-organisms, as well a by sequential administration of antigen and immuno-modulating compound producing micro-organism, or any combination thereof.

In a further embodiment, said antigen is delivered simultaneously with or sequential to said immuno-modulating compound secreting micro-organism.

A preferred embodiment is simultaneous administration of antigen and immuno-modulating compound producing micro-organism. In this case, antigen and immuno-modulating compound producing micro-organism may be comprised in the same pharmaceutical formulation, or in more than one pharmaceutical formulation taken together. A preferred embodiment is delivery by a micro-organism producing both the antigen and the immuno-modulating compound.

When the antigen and the immuno-modulating compound expressing micro-organism or the composition comprising both elements are administered simultaneously, the compounds or active ingredients may be present in a single pharmaceutical composition or formulation.

- 5 Alternatively the compounds or active ingredients are administered in separate pharmaceutical compositions or formulations for simultaneous or separate use. The invention thus also relates to pharmaceutical compositions comprising antigen and the immuno-modulating molecule expressing micro-organism of the invention and to the uses of these pharmaceutical compositions.

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In case of sequential administration, either the antigen or the immunomodulating compound producing micro-organism may be administered first. In case of sequential administration, the time between the administration of the antigen and the immuno-modulating compound producing micro-organism is preferably not more than 3 hours, even more preferably not more than two hours, most preferably not more than one hour.

15

The active ingredients may be administered from 1 to 6 times a day, sufficient to exhibit the desired activity. These daily doses can be given as a single dose once daily, or can be given as two or more smaller doses at the same or different times of the day which in total give the specified daily dose. Preferably, the active ingredient is administered once or twice a day. It is contemplated that both active agents would be administered at the same time, or very close in time. Alternatively, one compound could be taken in the morning and one later in the day. Or in another scenario, one compound could be taken twice daily and the other once daily, either at the same time as one of the twice-a-day dosing occurred, or separately. Preferably both compounds would be taken together at the same time and be administered as an admixture. In an embodiment, the second compound is administered simultaneously with, separate from or sequential to said first compound.

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In all aspects of the invention, the daily maintenance dose can be given for a period clinically desirable in the patient, for example from 1 day up to several years (e.g. for the mammal's entire remaining life); for example from about (2 or 3 or 5 days, 1 or 2 weeks, or 1 month) upwards and/or for example up to about (5 years, 1 year, 6 months, 1 month, 1 week, or 3 or 5 days). Administration of the daily maintenance dose for about 3 to about 5 days or for about 1 week to about 1 year is typical. Other constituents of the liquid formulations may include preservatives, inorganic salts, acids, bases, buffers, nutrients, vitamins, or other pharmaceuticals.

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The micro-organism secreting the immuno-modulating compound and/or the antigen may be delivered in a dose of at least 10^4 colony forming units (cfu) to 10^{12} cfu per day, preferably between 10^6 cfu to 10^{12} cfu per day, most preferably between 10^9 cfu and 10^{12} cfu per day. In accordance with the method as described in Steidler *et al.* (Science 2000), the immuno-

5 modulating compound of e.g. of 10^9 cfu is secreted to at least 1 ng to 100 ng. Through ELISA, known to a person skilled in the art, the antigen of e.g. of 10^9 cfu is secreted to at least 1 ng to 100 ng; the skilled person in the art can calculate the range of secretion of immuno-modulating compound and/or antigen in relation to any other dose of cfu.

10 The antigen may be delivered in dose inducing a low-dose response. Preferably, said antigen is delivered in a dose of at least 10 fg to 100 μ g per day, preferably between 1pg and 100 μ g per day, most preferably between 1 ng and 100 μ g per day.

The immuno-modulating compound of the invention may be delivered in a dose of at least 10

15 fg to 100 μ g per day, preferably between 1pg and 100 μ g per day, most preferably between 1 ng and 100 μ g per day.

Preferably the compounds or composition is provided in a unit dosage form, for example a tablet, solution, capsule or metered aerosol dose, so that a single dose is administered to the

20 subject, e.g. a patient.

Depending on the mode of administration, e.g. oral, or any of the ones described above, the man skilled in the art knows how to define or calculate the actual dose to be administered to a patient. The person skilled in the art will be knowledgeable to adjust the doses depending on

25 the patient, micro-organism, vector et cetera.

Compounds of the present invention also may take the form of a pharmacologically acceptable salt, hydrate, solvate, or metabolite. Pharmacologically acceptable salts include basic salts of inorganic and organic acids, including but not limited to hydrochloric acid, hydrobromic acid,

30 sulphuric acid, phosphoric acid, nitric acid, methanesulphonic acid, ethanesulfonic acid, p-toluenesulfonic acid, naphtalenesulfonic acid, malic acid, acetic acid, oxalic acid, tartaric acid, citric acid, lactic acid, fumaric acid, succinic acid, maleic acid, salicylic acid, benzoic acid, phenylacetic acid, mandelic acid and the like. When compounds of the invention include an acidic function, such as a carboxy group, then suitable pharmaceutically acceptable cation

35 pairs for the carboxy group are well known to those skilled in the art and include alkaline, alkaline earth, ammonium, quaternary ammonium cations and the like.

The micro-organism can be any micro-organism, including bacteria, yeasts or fungi, suitable for mucosal delivery. Preferably, said micro-organism is a non pathogenic micro-organism, even more preferably said micro-organism is a probiotic micro-organism. Probiotic organisms are known to the person skilled in the art. Probiotic organisms include, but are not limited to, bacteria such as *Lactobacillus* sp., *Lactococcus* sp., *Bifidobacterium* sp. and yeasts such as *Saccharomyces cerevisiae* subspecies *boulardii*. Preferably, said bacterium is a lactic acid bacterium; Even more preferably, said lactic acid bacterium is chosen from the group consisting of *Lactobacillus*, *Leuconostoc*, *Pediococcus*, *Lactococcus*, *Streptococcus*, *Aerococcus*, *Carnobacterium*, *Enterococcus*, *Oenococcus*, *Teragenococcus*, *Vagococcus*, and *Weisella*. In one further preferred embodiment, said micro-organism is *Lactococcus lactis*. In another preferred embodiment, said micro-organism is *Saccharomyces cerevisiae*.

In a preferred embodiment, the immuno-suppressing cytokine is combined with antagonizing antibodies against immuno-inducing cytokines, such as anti-IL-2, anti-IL-12 and/or anti-IFN γ ; and costimulatory molecules, such as anti-CD40L and anti-CD3. Alternatively, compounds may be delivered that stimulate the production of the immuno-suppressing cytokines, such as cholera toxin B subunit; and molecules that stimulate regulatory T cell function, such ICOS and CTLA-4 agonists. As described above, preferably, said micro-organism is a non-pathogenic micro-organism, even more preferably it is a probiotic micro-organism. Probiotic organisms are known to the person skilled in the art, and include, but are not limited to bacteria such as *Lactobacillus* sp., *Lactococcus* sp., *Bifidobacterium* sp. and yeasts such as *Saccharomyces cerevisiae* subspecies *boulardii*. In one preferred embodiment, said micro-organism is *Lactococcus lactis*. In another preferred embodiment, said micro-organism is *Saccharomyces cerevisiae*. Most preferably said probiotic micro-organism is a lactic acid bacterium, as delivery of heterologous proteins (i.e. non Lactic acid bacterial proteins) by lactic acid bacteria into the mucosa, including both oral and vaginal delivery, has been described (Steidler and Rottiers, 2006; Liu *et al.*, 2006), which makes these lactic acid bacteria extremely suitable for delivery of both antigen and immuno-suppressing compound.

Another aspect of the invention is the use of an immuno-modulating compound producing micro-organism, in combination of an antigen for the preparation of a medicament to treat an immune response related disease. Preferably, said immuno-modulating compound is an immuno-suppressing cytokine. Preferably, said antigen is delivered by an antigen secreting micro-organism. The immuno-modulating compound and the antigen may be delivered by the same micro-organism, or it may be a different micro-organism. Preferably, said immuno-suppressing cytokine is a immuno-suppressing, tolerance-enhancing cytokine. Immuno-suppressing, tolerance-enhancing cytokines are known to the person skilled in the art, and

- include, but are not limited to IL-4, IL-10, IFN α and TGF β , Flt3L and Rank-L. Preferably, said immuno-suppressing cytokine is selected from the group consisting of IL-4, IL-10, IFN α and Flt3L. Most preferably, said immuno-suppressing cytokine is IL-10, or a functional homologue thereof. In one preferred embodiment, the immuno-suppressing cytokine is combined with
- 5 antagonizing antibodies against immuno-inducing cytokines, such as anti-IL-2, anti-IL-12 and/or anti IFN γ and costimulatory molecules, such as anti-CD40L and anti-CD3. Preferably said immuno-suppressing cytokine is expressed in low amounts, preferably 0.1 μ g or lower in a mice experimental setting, such amounts to be translated in a human disease setting.
- 10 It will be appreciated that the compounds and compositions of the invention may be used as nutraceuticals, functional or medical food, or as additives in said nutraceuticals, functional or medical food. Another embodiment provides a food or beverage, preferably fit for human consumption, which is comprised of a nutraceutical and a flavoring agent, wherein the nutraceutical is comprised of an extract from an agricultural product.
- 15 Nutraceuticals, whether in the form of a liquid extract or dry composition, are edible and may be eaten directly by humans, but are preferably provided to humans in the form of additives or nutritional supplements e.g., in the form of tablets of the kind sold in health food stores, or as ingredients in edible solids, more preferably processed food products such as cereals, breads, tofu, cookies, ice cream, cakes, potato chips, pretzels, cheese, etc., and in drinkable liquids
- 20 e.g., beverages such as milk, soda, sports drinks, and fruit juices. Thus, in one embodiment a method is provided for enhancing the nutritional value of a food or beverage by intermixing the food or beverage with a nutraceutical in an amount that is effective to enhance the nutritional value of the food or beverage.
- 25 Another embodiment provides a method for enhancing the nutritional value of a food or beverage which comprises intermixing a food or a beverage with a nutraceutical to produce a nutritionally-enhanced food or beverage, wherein the nutraceutical is intermixed in an amount effective to enhance the nutritional value of the food or beverage, wherein the nutraceutical is comprised of an extract from a crop comprising the antigens of the present invention, and
- 30 wherein the nutritionally-enhanced food or beverage may further comprise a flavoring agent. Preferred flavoring agents include sweeteners such as sugar, corn syrup, fructose, dextrose, maltodextrose, cyclamates, saccharin, phenyl-alanine, xylitol, sorbitol, maltitol, and herbal sweeteners e.g., Stevia.
- The nutraceuticals described herein are intended for human consumption and thus the
- 35 processes for obtaining them are preferably conducted in accordance with Good

Manufacturing Practices (GMP) and any applicable government regulations governing such processes. Especially preferred processes utilize only naturally derived solvents. The nutraceuticals described herein preferably contain relatively high levels of health-enhancing substances. Nutraceuticals may be intermixed with one another to increase their health-enhancing effects.

In contrast to nutraceuticals, the so-called "medical foods" are not meant to be used by the general public and are not available in stores or supermarkets. Medical foods are not those foods included within a healthy diet to decrease the risk of disease, such as reduced-fat foods or low-sodium foods, nor are they weight loss products. A physician prescribes a medical food when a patient has special nutrient needs in order to manage a disease or health condition, and the patient is under the physician's ongoing care. The label must clearly state that the product is intended to be used to manage a specific medical disorder or condition. An example of a medical food is nutritionally diverse medical food designed to provide targeted nutritional support for patients with chronic inflammatory conditions. Active compounds of this product are for instance one or more of the compounds described herein. Functional foods may encompass those foods included within a healthy diet to decrease the risk of disease, such as reduced-fat foods or low-sodium foods, or weight loss products. Hence, the present invention contemplates a food or beverage comprising a nutraceutical according to the invention.

The present invention thus relates to the use of an immuno-modulating compound secreting micro-organism in combination with an antigen for the preparation of a medicament, medical food or nutraceutical to induce immune tolerance or to treat an immune response related disease. Preferably, the present invention relates to the use of a composition for the preparation and/or the manufacturing of a medicament, medical food or nutraceutical for treating, preventing and/or alleviating a disease or disorder involving an immune response related disease, characterized in that said composition comprises at least an immuno-modulating compound secreting micro-organism and an antigen.

In a further aspect, the present invention relates to the use of at least an immuno-modulating compound secreting micro-organism and an antigen for treating, preventing and/or alleviating a disease or disorder involving an immune response related disease. Hence, the present invention also relates to a method for treating an immune response related disease in an animal in need thereof, comprising mucosal delivery of an antigen in combination with mucosal delivery of an immuno-modulating compound secreting micro-organism.

In a further embodiment the invention relates to a composition comprising an immuno-modulating compound secreting micro-organism in combination with an antigen. Preferably, said composition is a pharmaceutical composition. Preferably, said antigen is an allergen, allo-antigen, self antigen or auto-antigen. Even more preferably, said antigen is involved in the
5 induction of allergic asthma, multiple sclerosis, type I diabetes, autoimmune uveitis, autoimmune thyroiditis, autoimmune myasthenia gravis, rheumatoid arthritis, food allergy or celiac disease. In one preferred embodiment, said antigen is a therapeutic antigen, preferably Anti-CD3. Preferably, the antigen according to the invention is delivered by an antigen expressing micro-organism. In this case, the antigen may be displayed at the surface of said
10 antigen expressing micro-organism or it may be secreted by said organism. Preferably, said composition is presented in a spray, capsule, aerosol, lozenges, bolus, tablet, sachets, liquid, suspension, emulsion or troches, preferably in a unit dosage form, for example a tablet, capsule or metered aerosol dose. Preferably, the immuno-modulating compound of the composition according to the invention is an immuno-suppressing compound or antibody. In
15 one preferred embodiment said immuno-suppressing compound is a tolerance enhancing cytokine or a tolerance enhancing antibody, most preferably it is chosen from the group consisting of IL-4, IL10, IFN- α , Flt3L, TGF β and RANK-L. In another preferred embodiment said immuno-suppressing compound is an immuno-suppressing antibody is chosen from the group consisting of anti-IL-2, anti-IL12 and anti-IFN- γ . In one preferred embodiment, the
20 immuno-modulating compound secreting micro-organism of the composition according to the invention is a probiotic micro-organism. In another preferred embodiment, the immuno-modulating compound secreting micro-organism of the composition according to the invention is a bacterium or a yeast, preferably said bacterium is a lactic acid bacterium, even more preferably it is a lactic acid bacterium chosen from the group consisting of *Lactobacillus*,
25 *Leuconostoc*, *Pediococcus*, *Lactococcus*, *Streptococcus*, *Aerococcus*, *Carnobacterium*, *Enterococcus*, *Oenococcus*, *Teragenococcus*, *Vagococcus*, and *Weisella*, most preferably said *Lactococcus* is *Lactococcus lactis*. Preferably, said yeast is *Saccharomyces cerevisiae*. Preferably, said antigen and said immuno-modulating compound of the composition according to the invention are expressed by the same micro-organism. Preferably the composition
30 according to the invention is further comprising an adjuvant, pharmaceutical acceptable carrier and/or excipient. Preferably, the composition according to the invention further comprises a compound stimulating production of immuno-suppressing cytokines, preferably said compound stimulating production of immuno-suppressing cytokines is cholera toxin B subunit. Preferably, in the composition according to the invention, said antigen and/or said immuno-modulating
35 compound secreting micro-organism are present in a dose of at least at least 10 femtogram to 100 mg.

In a final embodiment, the present invention relates to a medicament, nutraceutical or medical food for treating, preventing and/or alleviating a disease or disorder involving an immune response related disease comprising at least an antigen in combination with an immuno-modulating compound secreting micro-organism.

5

Those skilled in the art will appreciate that numerous changes and modifications can be made to the preferred embodiments of the invention and that such changes and modifications can be made without departing from the spirit of the invention. It is, therefore, intended that the appended claims cover all such equivalent variations as fall within the true spirit and scope of the invention.

10

In addition, all terms used in the description of compounds of the present invention have their meaning as is well known in the art.

15 BRIEF DESCRIPTION OF THE FIGURES

Fig. 1. Proliferative immune responses in the popliteal and inguinal lymph nodes (PLN/ILN) following oral feeding with GM *L.lactis* or ovalbumin protein (OVA) to Balb/c mice. OVA-specific proliferative responses were measured 11 days after subcutaneous challenge (on day 0) of the mice with OVA in complete Freund's adjuvant. Mice received mixed *L.lactis* suspension on days -46 till -42, -39 till -35, -32 till -28, -25 till -21, -18 till -14, -11 till -7, -4 till -1. LL-pT: mixed bacterial suspension of LL-pT1NX (vector control) and LL-pT1NX; LL-OVA: mixed bacterial suspension of *L. lactis* strain secreting ovalbumin and LL-pT1NX; LL-OVA+LL-mIL10: mixed bacterial suspension of LL-OVA and *L. lactis* strain secreting murine interleukin-10. Positive control 1 received 20 mg OVA on day -7. Positive control 2 received 1 µg OVA on the same days as the *L. lactis* feeding. The results shown are the mean [³H]- thymidine incorporation in cpm (± SD) for triplicate cultures of pooled cells from groups with 4 mice.

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Fig. 2. Cytokine responses in the MLN and PLN/ILN following oral feeding with GM *L.lactis* or OVA to Balb/c mice. The secretion of IL12p70 (a), TNF-α (b), IFN-γ (c), MCP-1 (d), IL-10 (e) and IL-6 (f) in control mice and mice fed GM *L. lactis* or OVA was evaluated. Cell culture supernatants of MLN (A) and PLN/ILN (B) cells were tested following restimulation with 300 µg/ml OVA *in vitro*, for the presence of cytokines by CBA (BD Bioscience), using the mouse inflammation kit. The results shown are the cytokine productions by pooled cells from groups with 4 mice.

30

Fig. 3. OVA-specific proliferative CD4⁺ T-cell responses in the PLN/ILN following oral feeding with GM *L.lactis* or ovalbumin protein (OVA) to Balb/c mice. OVA-specific proliferative

responses were measured 11 days after subcutaneous challenge (on day 0) of the mice with OVA in complete Freund's adjuvant. Mice received mixed *L. lactis* suspension on days -46 till -42, -39 till -35, -32 till -28, -25 till -21, -18 till -14, -11 till -7, -4 till -1. LL-pT: mixed bacterial suspension of LL-pT1NX (vector control) and LL-pT1NX; LL-OVA: mixed bacterial suspension of *L. lactis* strain secreting ovalbumin and LL-pT1NX; LL-OVA+LL-mIL10: mixed bacterial suspension of LL-OVA and *L. lactis* strain secreting murine interleukin-10. Positive control 1 received 20 mg OVA on day -7. Positive control 2 received 1 µg OVA on the same days as the *L. lactis* feeding. The results shown are the mean [³H]- thymidine incorporation in cpm (± SD) for triplicate cultures of pooled cells from groups with 4 mice.

EXAMPLES

Example A: Induction of tolerance to ovalbumin following oral administration of *L. lactis* secreting said ovalbumin in combination with *in situ* delivered IL-10.

Material and Methods to the examples

Bacteria and plasmids

The *L. lactis* strain MG1363 was used throughout this study. Bacteria were cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0,5% glucose. Stock suspensions of all strains were stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions were diluted 500-fold in fresh GM17 and incubated at 30°C. They reached a saturation density of 2 x 10⁹ colony-forming units (CFU) per ml within 16 hours. Throughout this study, mixed bacterial suspensions were used. Therefore, the bacteria that have to be mixed were harvested by centrifugation and pellets of both bacterial cultures were concentrated 10-fold in BM9 medium (Schotte, *et al.*, 2000). For treatment, each mouse received 100 µl of this suspension by intragastric catheter.

The mRNA sequence encoding *Gallus gallus* Ovalbumin was retrieved from Genbank (accession number AY223553). Total RNA was isolated from chicken uterus and cDNA was synthesized using 2 µg total RNA, 2µM oligo dT primers (Promega Corporation Benelux, Leiden, The Netherlands), 0.01mM DTT (Sigma-Aldrich, Zwijndrecht, The Netherlands), 0.5mM dNTP (Invitrogen, Merelbeke, Belgium), 20 U Rnasin (Promega Incorporation Benelux) and 100 U superscript II reverse transcriptase (Invitrogen) in a volume of 25 µl. OVA cDNA fragment was amplified by Polymerase Chain Reaction (PCR) using the following conditions: 94°C for 2 min followed by 30 cycles at 94°C for 45 seconds, 62°C for 30 seconds and 72°C for 90 seconds, with the following forward and reverse primers

5'- GGCTCCATCGGTGCAGCAAGCATGGAATT-3' and
5'-ACTAGTTAAGGGGAAACACATCTGCCAAAGAAGAGAA-3'.

The amplified fragment was fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector, downstream of the lactococcal P1 promotor. MG1363 strains transformed with plasmids carrying OVA cDNA and IL-10 were designated *L. lactis* secreting OVA (LL-OVA) and LL-IL10. The *L. lactis*-pT1NX, which is MG1363 containing the empty vector, pT1NX, served as control (LL-pT1NX).

Animals

7-week old female Balb/c mice were obtained from Charles River Laboratories (Italy). They were housed under SPF conditions and were fed standard laboratory feed and tap water ad libitum. The animal's studies were approved by the Ethics Committee of the Department for Molecular Biomedical Research, Ghent University.

Induction and assessment of oral tolerance

Mice received mixed *L. lactis* suspension on days -46 till -42, -39 till -35, -32 till -28, -25 till -21, -18 till -14, -11 till -7, -4 till -1. LL-pT: mixed bacterial suspension of LL-pT1NX (vector control) and LL-pT1NX; LL-OVA: mixed bacterial suspension of *L. lactis* strain secreting ovalbumin and LL-pT1NX; LL-OVA+LL-mIL10: mixed bacterial suspension of LL-OVA and *L. lactis* strain secreting murine interleukin-10. Two positive controls for oral tolerance induction were included in the study. Positive control 1 received 20 mg ovalbumin in 100 µl BM9 medium on day -7. Positive control 2 received 1 µg ovalbumin in 100 µl BM9 medium on the same days as the *L. lactis* feeding. Mice received feedings intragastrically by catheter. Control mice were not orally treated. On day 0, mice were immunized s.c. with 100 µg OVA emulsified 1:1 in complete Freund's adjuvant containing 100 µg *M. tuberculosis* H37 RA (Difco). Eleven days after the immunization, mesenteric lymph nodes (MLN) and popliteal and inguinal lymph nodes (PLN/ILN) were harvested and the cells assessed for OVA-specific proliferation and cytokine production.

OVA-specific proliferation in vitro

Single cell suspension of the draining popliteal and inguinal lymph nodes were prepared. Cells were counted and resuspended at 2×10^5 cells in 200 µl RPMI-1640 containing 10 % fetal calf serum (FCS), 10 U/ml penicillin, 10 µg/ml streptomycin, 2 mM L-glutamax, 0,4 mM sodium pyruvate (RPMI complete) either alone or with 11, 33, 100 or 300 µg/ml OVA. The cells were cultured for 90 hours in U-bottomed 96-well tissue culture plates (Becton Dickinson) at 37°C in a 5% CO₂ humidified incubator. Proliferation was assessed by addition of 1µCi/well [³H]-thymidine for the last 18 hours of culture. DNA-bound radioactivity was harvested onto glass

fiber filter mats (Perkin Elmer) and thymidine-incorporation measured on a scintillation counter (Perkin Elmer).

OVA-specific proliferation of CD4 purified T cells in vitro

- 5 CD4+ T-cells were purified from the whole cell preparations from the PLN/ILN using the CD4+ T-cell isolation kit (Miltenyi Biotec). 2×10^5 CD4+T-cells were cultured in 200 μ l RPMI complete with mitomycin C-treated splenocytes loaded with OVA, acting as antigen presenting cells at ratio's CD4+T-cells/APC, 1/3, 1/1, 1/0.3, 1/0.1 and 1/0. The cells were cultured for 90 hours in U-bottomed 96-well tissue culture plates (Becton Dickinson) at 37°C in a 5% CO₂ humidified incubator. Proliferation was assessed by addition of 1 μ Ci/well [³H]-thymidine for the last 18 hours of culture. DNA-bound radioactivity was harvested onto glass fiber filter mats (Perkin Elmer) and thymidine-incorporation measured on a scintillation counter (Perkin Elmer).

Measurement of OVA-specific cytokine production

- 15 Lymph nodes cells from the mesenteric lymph nodes (MLN) and draining popliteal and inguinal lymph nodes were prepared and were resuspended at 2×10^6 cells/ml and 100 μ l aliquots cultured in U-bottomed 96-well tissue culture plates for 72 hours with 300 μ g/ml OVA. Supernatants were stored at -20°C until cytokine levels were quantified by the Cytometric Bead Array using the mouse inflammation kit (BD Bioscience).

20

- Example A1: LL-IL10 significantly enhances the tolerance-inducing capacity of LL-Ova. To study the induction of oral tolerance, mice were orally fed GM L. lactis [LL-pt: mixed bacterial suspension of LL-pT1NX [all] (=vector control) and LL-pT1NX; LL-OVA: mixed bacterial suspension of OVA-secreting *L.lactis* [all italics] and LL-pT1NX; LL-OVA+LL-mIL-10: mixed bacterial suspension of OVA-secreting *L.lactis* and murine IL-10 secreting *L.lactis*] 6 times 5 consecutive days (on days -46 till -42, -39 till -35, -32 till -28, -25 till -21, -18 till -14, -11 till -7 and -4 till -1) or a single dose of 20 mg OVA on day -7 [positive control 1] or frequent doses of 1 μ g OVA on the same days as the L. lactis feeding [positive control 2]. Control mice were not orally treated. On day 0, mice were immunized s.c. with OVA in complete Freund's adjuvant and OVA-specific proliferation of the PLN/ILN cells was assessed on day 11. Addition of LL-IL-10 significantly enhanced the tolerance induction towards OVA as the OVA-specific proliferative response of the PLN/ILN cells (Fig. 1) was significantly reduced in the LL-OVA [all]+LL-mIL-10 group in comparison to the control and LL-ova groups.
- 30

Example A2: LL-IL10 potentiates oral tolerance in association with reduced production of proinflammatory cytokines in response to Ova.

To study the induction of oral tolerance, mice were orally fed GM *L. lactis* or OVA as described above (example 1) and were subsequently immunized s.c. with OVA in complete Freund's
 5 adjuvant. Eleven days following the immunization, the cytokine production in response to OVA in the MLN and PLN/ILN was quantified by Cytometric Bead Array, using the mouse inflammation kit. In the MLN, the production of the proinflammatory cytokines, IL-12, TNF- α , IFN- γ and IL-6 was not detected or strongly reduced in the LL-ova+LL-mIL-10 group in comparison to the LL-ova group in which a strong production of these pro-inflammatory
 10 cytokines was observed (Fig. 2A). In the PLN, the production of the proinflammatory cytokines, TNF- α , IFN- γ , MCP-1, and IL-6 is strongly reduced in the LL-ova+LI mIL-10 group in comparison to the LL-ova group and in this group the TNF- α , MCP-1 and IL-6 levels are lower than those observed in the control group (Fig. 2B).

15 Example A3: LL- IL10 enhances oral tolerance via CD4+ T cells.

To assess whether the induction of oral tolerance was mediated by CD4+ [all] T cells, the OVA-specific proliferative CD4 T-cell response was studied in the MLN and PLN/ILN. Therefore, mice were orally fed with GM *L. lactis* or OVA on the days indicated above (Example 1). The mice were immunized s.c. with OVA in complete Freund's adjuvant on day 0
 20 and 11 days later the CD4 T cells were purified from the MLN and PLN/ILN and were subsequently cultured in presence of mitomycin C-treated splenocytes loaded with OVA. The OVA-specific CD4 T cell response in the LL-ova+LL-mIL-10 group was significantly reduced in comparison to the LL-ova and control groups (Fig. 3).

25 Example B: Induction of tolerance to clotting Factor VIII and Factor IX following oral administration of *L. lactis* secreting said factors in combination with *in situ* delivered IL-10.

Introduction

30 Several therapeutic (recombinant) proteins, such as interferon's, factor VIII/IX and antibodies (Remicade) are administered at high doses over prolonged treatment periods. However, a complication associated with their use is the development of protein-specific immune responses, such as antibodies. These antibodies (Abs), also called inhibitors, render the therapeutic proteins less effective. Examples include the formation of inhibitors for factor VIII/IX
 35 in hemophilia, erythropoietin (Epo) in patients undergoing therapy for chronic renal failure, and IFN- β in patients undergoing treatment for multiple sclerosis. Here, we demonstrate that oral delivery of the Factor VIII (and Factor IX) in combination with IL-10 producing *L. lactis*

suppresses inhibitor formation to said factor via the induction of antigen-specific CD4⁺ regulatory T cells.

Material and Methods to the examples

5 *Bacteria and plasmids*

The *L. lactis* strain MG1363 is used throughout this study. Bacteria are cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0,5% glucose. Stock suspensions of all strains are stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions are diluted 200-fold in fresh GM17 and are incubated at 30°C. They reach a saturation density of 2×10^9 colony-forming units (CFU) per ml within 16 hours. Throughout this study, mixed bacterial suspensions are used. Therefore, the bacteria that are mixed are harvested by centrifugation and pellets of both bacterial cultures are concentrated 10-fold in BM9 medium (Schotte, Steidler et al. 2000). For treatment, each mouse receives 100 µl of this suspension by intragastric catheter.

15

Human FVIII and FIX cDNA or cDNA-fragments, representing FVIII- and FIX-specific CD4⁺ T-cell epitopes, are amplified fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector, downstream of the lactococcal P1 promotor.

20 MG1363 strains transformed with plasmids carrying murine IL-10, FVIII (and/or epitope fragment), FIX (and/or epitope fragment), were designated L. lactis secreting IL10, LL-IL10, LL-FVIII, LL-FIX. LL-pT1NX, which is MG1363 containing the empty vector pT1NX, serve as control.

Quantification of FVIII and FIX

25 FVIII or FIX from LL-FVIII and LL-IX, respectively are determined using human FVIII and FIX-specific enzyme-linked immunosorbent assay (ELISA), that have been described previously (Chuah *et al.*, 2003). The recombinant proteins are also analyzed by Western blot analysis and COATests and aPTT assays, as described (Chuah *et al.*, 2003; VandenDriessche *et al.*, 1999). The NH2-terminus of this protein is determined by automated Edman degradation. Since FVIII and FIX are normally expressed in the liver where they undergo extensive post-translational modifications, the clotting factors produced from the engineered *L. lactis* may be biologically inactive. However, these post-translational differences will likely have no repercussions on the ability of these *L. lactis*-produced recombinant proteins to induce immune tolerance. Indeed, most inhibitors that have been characterized in detail to date typically recognize amino acid residues (Villard *et al.*, 2003), rather than glycosylated moieties.

35

Animals

Hemophilia A or B mice obtained by knocking-out the murine FVIII or FIX genes using homologous recombination in ES cells as described by (Bi *et al.*, (1995) and Wang *et al.*,
5 (1997), are bred in the laboratory. These recipient mice generate neutralizing antibodies when challenged with purified recombinant FVIII or FIX antigen in the presence of CFA (Mingozzi *et al.*, 2003). The inhibitor status can be monitored over time using Bethesda assays or anti-FVIII/anti-FIX specific ELISAs. Recipient mice challenged with FVIII or FIX (+CFA) typically develop inhibitors 2-3 weeks after antigenic challenge.

10

Experimental setting

4-6 week-old mice receive LL-FVIII, LL-FIX, or LL-pT1NX or LL-OVA (an irrelevant antigen) either as negative controls, combined or not with LL-IL10 or IL-10 protein (1 or 10 µg). As a positive control for tolerance induction, we inject mice with adeno-associated viral vectors
15 (AAV) expressing FIX from a hepatocyte-specific promoter. Recipient animals develop FIX-specific immune tolerance that prevents induction of anti-FIX antibodies upon subsequent challenge with FIX+CFA.

In a prophylactic setting, LL-FVIII, LL-FIX alone or along with LL-IL10 or IL-10 are administered
20 orally to hemophilia A or B mice using a gastric catheter, using different treatment intervals and doses. These recipient mice are subsequently challenged with purified recombinant FVIII or FIX antigen, in the presence of CFA (Mingozzi *et al.*, 2003). Control animals are exposed to LL-pT1NX and LL-OVA. Plasma is harvested by retro-orbital bleeding. The development of antibodies directed against FVIII or FIX is assessed using Bethesda assays (Kasper *et al.*,
25 1975) or using a modified anti-FVIII or anti-FIX specific ELISA (VandenDriessche *et al.*, 1999) at different time intervals.

In a therapeutic setting, hemophilia A or B mice are first immunized with FVIII or FIX, as described (Mingozzi *et al.*, 2003). The inhibitor status is monitored over time using Bethesda
30 assays or anti-FVIII/anti-FIX specific ELISAs. Mice with low or high inhibitor titers are subsequently treated with LL-FVIII, LL-FIX alone or along with LL-IL10 or IL-10 using different treatment intervals and doses and inhibitor titers are determined over time. The specificity of the possible immune tolerance is assessed by challenging the mice that receive LL-FVIII, LL-FIX alone or along with LL-IL10 with an irrelevant antigen (tetanus toxoid or Ova). As a positive
35 control, mice are exposed orally to purified FVIII or FIX.

Cell cultures, proliferation and cytokine assay

Single cell suspensions of spleen and lymph nodes are prepared by passing the cells through 70 μ m filter cell strainers (Becton/Dickinson Labware). Erythrocytes are removed from the spleen cell suspensions by incubation with red cell lysis buffer.

5

Proliferation assays of total splenocyte populations, 2×10^5 cells are cultured in 96-well U-bottom plates in a total volume of 200 μ l complete medium either alone or with purified FVIII or FIX, and either with or without anti-IL-10 or anti-TGF- β neutralising monoclonal antibodies. FVIII and FIX is added at concentrations ranging from 1 to 100 μ g/ml. The neutralizing antibodies are added at 1, 0.1 and 0.01 μ g/ml. For proliferation assays of CD4⁺ T cells and CD4⁺CD25⁻ T cell populations, 0.2×10^5 cells CD4⁺ T cells or CD4⁺CD25⁻ T cells are cultured in 96-well U-bottom plates with 1×10^5 irradiated CD4⁻ cells, acting as antigen presenting cells, and FVIII or FIX (0 or 100 μ g/ml) in a total volume of 200 μ l complete medium either with or without neutralizing antibodies. After 72 hr at 37°C in a 5% CO₂ humidified incubator, proliferation is assessed by addition of 1 μ Ci/well [³H]-thymidin. DNA-bound radioactivity is harvested 16-18 hr later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation is measured on a scintillation counter (Perkin Elmer).

For cytokine measurements, supernatants of the cell cultures used in the different proliferation assays are collected after 24, 48 and 72 h of culture and frozen at -20° C until cytokine analysis is performed. Cytokine production is quantified using the Mouse Inflammation Cytometric Bead Assay (BD Biosciences, Mountain View, CA, USA).

In vivo T regulatory activity assay

In order to test for active suppression of antibody formation in mice, splenocytes, bead-purified CD4⁺ T cells, CD4⁺CD25⁻ or CD4⁺CD25⁺ T cells isolated from the different experimental *L. Lactis*-treated groups are adoptively transferred to naïve C3H/HeJ mice. Untreated mice are used as control. The number of transferred cells is 10^7 for whole spleen cells, subpopulation-depleted spleen cells, or positively selected CD4⁺ cells and CD4⁺CD25⁻ and CD4⁺CD25⁺ T cells. Recipient mice (n=4-5 per experimental cohort) were subcutaneously injected with 5 μ g hF.IX in cFA 36 hours after adoptive transfer. Anti-hF.IX IgG titers in plasma were measured 2.5 weeks after immunization.

Example B1: LL-IL10 significantly enhances the tolerance-inducing capacity of LL-FVIII and LL-IX in Hemophilia A or B mice.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). Addition of LL-IL-10 significantly enhances the tolerance induction towards FVIII and

FIX as the factor-specific proliferative response of splenocytes is significantly reduced in the LL-FVIII/FIX+LL-mIL-10 group in comparison to the control and LL-FVIII/IX groups.

Example B2: LL-IL10 potentiates oral tolerance in association with reduced FVIII- and FIX-specific titers and IFN- γ and more IL10 and TGF- β production in response to said factor.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). FVIII and FIX-specific antibodies and cytokine production in response to said factor in splenocytes and lymph nodes are quantified as described above. The inhibitor formation and production of the proinflammatory cytokine, IFN- γ is strongly reduced and the immunosuppressive cytokines IL-10 and TGF- β is significantly increased in the LL-FVIII/FIX+LL-mIL-10 group in comparison to the control and LL-FVIII/IX groups.

Example B3: LL-IL10 enhances oral tolerance via CD4+ T cells.

To assess whether CD4+ T cells mediate the induction of oral tolerance, the factor-specific proliferative CD4+ T-cell response is studied in the splenocytes and lymph nodes. Therefore, mice are orally fed as described above (*experimental setting*) and the factor-specific CD4+ T cell proliferation is determined as described in *Cell cultures, proliferation and cytokine assay*. The factor-specific CD4 T cell response in the LL- FVIII/FIX+LL-mIL-10 group is significantly reduced in comparison to the control and LL-FVIII/IX groups.

Example B4: IL-10 is less effective than LL-IL10 in potentiating oral tolerance

To assess whether LL-IL10 is as effective as IL-10, mice are orally fed as described above (*experimental setting*). The factor-specific proliferative CD4 T-cell response is studied in the splenocytes and lymph nodes. The factor-specific CD4 T cell response in the LL-FVIII/FIX+LL-mIL-10 group is significantly reduced in comparison to the LL-FVIII/IX + IL-10 group.

Example B5: Antigen-induced T regulatory cells following LL-FVIII/FIX - LL-IL10 combination therapy can transfer protection from inhibitor formation in vivo

In order to test for active suppression of antibody formation in mice treated with the oral tolerance protocol, we adoptively transfer splenocytes from the different treated groups as described above (*In vivo T regulatory activity assay*). Compared with controls and LL-FVIII/IX groups, anti-factor IgG formation is significantly reduced in the LL- FVIII/FIX+LL-mIL-10 group, indicating activation of regulatory CD4⁺ T cells in our combination oral tolerance protocol.

Example C: Induction of tolerance to an allergen, Der p 1 following oral administration of *L. lactis* secreting said allergen in combination with *in situ* delivered IL-10

Introduction

5 Allergic asthma is a chronic inflammatory disorder of the airways. It is characterized by reversible airway obstruction, elevated serum levels of allergen-specific immunoglobulin E, mucus hypersecretion and airway hyperresponsiveness (AHR) to bronchospasmogenic stimuli. Its symptoms are made worse by exposure to an allergen (e.g., tree, grass and weed pollen, dust and dust mites, mold, animal dander) to which the patient has been sensitized. Type 2 T-helper (Th2) lymphocytes play a crucial role in the initiation, progression and persistence of the disease. Current data suggest that Th2 responses to allergens are normally suppressed by regulatory T cells. Furthermore, suppression by this subset is decreased in allergic individuals. Here, we demonstrate that oral delivery of allergen in combination with IL-10 producing *L. lactis* suppresses asthma-like responses via the induction of antigen-specific CD4⁺ regulatory
15 T cells.

Material and Methods to the examples

Two Mouse models of allergic asthma that mimics human disease are the Ova allergen model and the humanized SCID model.

20

The Ova allergen model

OVA-sensitized mice are inhalationally challenged with OVA aerosol that leads to Th2 cytokine-dependent eosinophilic airway inflammation, bronchial hyperreactivity, and IgE production, findings highly characteristic of human allergic asthma (Brusselle, 1994, Clin Exp Allergy 24:73; Kips et al. 1996, Am J Respir Crit Care Med 153:535; Brusselle et al. 1995, Am J Respir Cell Mol Biol 12:254).

Bacteria

The *L. lactis* strain MG1363 is used throughout this study. Bacteria are cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0.5% glucose. Stock suspensions of all strains are stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions are diluted 200-fold in fresh GM17 and incubated at 30°C. They reached a saturation density of 2×10^9 colony-forming units (CFU) per mL within 16 hours. Bacteria are harvested by centrifugation and concentrated 10-fold in BM9 medium. For
35 treatment, each mouse receives 100 µL of this suspension daily by intragastric catheter.

Plasmids

The mRNA sequence encoding *Gallus gallus* Ovalbumin is retrieved from Genbank (accession number AY223553). Total RNA is isolated from chicken uterus and cDNA is synthesized using 2 µg total RNA, 2µM oligo dT primers (Promega Corporation Benelux, Leiden, The Netherlands), 0.01mM DTT (Sigma-Aldrich, Zwijndrecht, The Netherlands), 0.5mM dNTP (Invitrogen, Merelbeke, Belgium), 20 U Rnasin (Promega Incorporation Benelux) and 100 U superscript II reverse transcriptase (Invitrogen) in a volume of 25 µl. OVA cDNA fragment is amplified by Polymerase Chain Reaction (PCR) using the following conditions: 94°C for 2 min followed by 30 cycles at 94°C for 45 seconds, 62°C for 30 seconds and 72°C for 90 seconds, with the following forward and reverse primers

5'-GGCTCCATCGGTGCAGCAAGCATGGAATT-3' and

5'-ACTAGTTAAGGGGAAAC-ACATCTGCCAAAGAAGAGAA-3'.

The amplified fragment is fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector, downstream of the lactococcal P1 promotor.

MG1363 strains transformed with plasmids carrying murine IL-10 and OVA cDNA are designated LL-IL10 and LL-OVA. LL-pT1NX, which is MG1363 containing the empty vector pT1NX, serve as control.

Quantification of OVA

OVA from LL-OVA are determined using an in house developed OVA-specific enzyme-linked immunosorbent assay (ELISA). Production of the recombinant proteins is also assessed by Western blot analysis.

The OVA allergen model

Mice

BALB/c mice (6 to 8 weeks of age) are purchased from Charles River Laboratories (Calco, Italy). The mice are maintained under specific pathogen-free conditions.

Immunization of mice

Mice are immunized i.p. with 2 µg of OVA (grade V; Sigma-Aldrich) in 2 mg of aluminum hydroxide (alum). This immunization is repeated after a 10-day interval (on days 0 and 10). Control mice receive a saline injection instead of the OVA/alum solution. Seven days after the immunization, sensitized mice inhale an aerosolized solution of 3% OVA dissolved in PBS for 10 min. OVA inhalation is conducted for 3 days in a row (days 18, 19, and 20). Control mice inhale PBS alone under the same conditions as used for the experimental group.

Induction of oral tolerance

Mice receive LL-OVA alone or combined with IL-10 (1 or 10 µg) or LL-IL10, LL-IL10 alone, IL-10 alone (1 or 10 µg), LL-pT1NX or water (non fed control). In a *prophylactic setting*, mice are fed during 2 different regimes before the first i.p. immunization. Feeding regime 1 and 2 consist of 4 and 6 cycles of daily administration for 5 days, alternating with a 2-days period of non-administrating, respectively. As positive controls for oral tolerance induction, mice are fed 1 mg (low-dose) or 30 mg (high-dose) of OVA every other day from 10 to 2 days before the first immunization (five feedings in total) by intragastric catheter that reduce bronchial eosinophilia and airway hyperresponsiveness, with high dose feeding being more effective than low-dose feeding.

In a therapeutic setting, mice are fed daily with the same *L. lactis* strains as described for the prophylactic setting, only starting from the first immunization to 8 days after the immunization.

As positive control for oral tolerance induction mice are fed 30 mg OVA.

Measurement of airway hyperresponsiveness (AHR)

24 h after the final inhalation (day 21), airway hyperresponsiveness is assessed by methacholine-induced airflow obstruction. The mice are exposed for 2.5 min to nebulized physiologic saline (Otsuka Pharmaceutical), followed by incremental doses (1–30 mg/ml) of nebulized methacholine. These mice are placed in a whole-body plethysmograph for 2.5 min following nebulization, and enhanced pause (Penh) is measured using Biosystem XA WBP system (Buxco Electronics). "Penh" represents pulmonary airflow obstruction and is calculated using the formula: $Penh = ((Te - Tr)/(Tr \times PEF/PIF))$, where Penh = enhanced pause (dimensionless), Te = expiratory time (seconds), Tr = relaxation time (seconds), PEF = peak expiratory flow (milliliters per second), and PIF = peak inspiratory flow (milliliters per second). Penh is measured and averaged approximately every 5 s, and the cumulative values are averaged as the Penh value for each time point. Airway hyperresponsiveness is expressed as PC200Mch (200% provocative concentration of methacholine), which is the concentration of methacholine that doubled the baseline Penh value.

Analysis of bronchoalveolar lavage fluid (BALF)

After the measurement of airway hyperresponsiveness, bronchoalveolar lavage samples are obtained. The mice are anesthetized by i.p. injection of 100 mg/kg ketamin and 10 mg/kg xylazin, and then the lungs are lavaged with 0.5 ml of saline four times. The lavage fluid is centrifuged and the cells are resuspended in 1 ml of saline with 1% BSA. Total cell numbers are counted using a hemocytometer. Cytospin samples are prepared by centrifuging the

suspensions at 300 rpm for 5 min. To clearly distinguish the eosinophils from the neutrophils, three different stains are applied: Diff-Quick, May-Grünwald-Giemsa, and Hansel (eosin) stains. At least 300 leukocytes are differentiated by light microscopy based on the standard morphologic criteria. The level of IL-13, IL-4 and IL-5 in BALF is detected by Cytometric Bead
5 Assay (BD Biosciences, Mountain View, CA, USA) following the manufacturer's instructions.

Measurement of serum total IgE and OVA-specific Ig

On day 21, blood samples are obtained from retro-orbital sinus under anesthesia. After the samples had fully coagulated, they are centrifuged, and the sera is collected and stored at -
10 80°C until use. Total IgE is assayed by ELISA using paired Abs (BD Pharmingen) according to the manufacturer's instructions. To measure OVA-specific IgE, IgG1, and IgG2a in sera, microtiter plates (Maxisorp, Nunc, VWR International, Haasrode, Belgium) are coated with 2 µg/ml OVA. Subsequently, the wells are blocked with 0,1% casein in PBS, after which the plates are incubated with mouse serum samples diluted 1:10 to 1:20480 in PBS containing
15 0.1% casein and 0.05% Tween 20 (PBS-CT), with goat anti-mouse IgG2a-HRP [Southern Biotechnology Associates (SBA), Imtec ITK Diagnostics, Antwerpen, Belgium, dilution 1:5000], goat anti-mouse IgG1-HRP or goat anti-mouse IgE-HRP (SBA, dilution 1:5000). After washing, substrate [3,3',5,5' tetramethylbenzidine (TMB) substrate reagent, Pharmingen, Becton Dickinson, Erembodegem, Belgium] is added to each well. Finally, reactions are stopped by
20 adding 1M H₂SO₄ to the wells. The absorbances are read at 450 nm. ELISA scores are expressed as titers, which are the inverse of the highest dilution that still had on OD₄₅₀ higher than the calculated cutoff value. The cutoff is calculated as the mean OD₄₅₀ of 5 non-immunized mice increased with three times the SD.

25 *Histological examination of lung tissue*

After bronchoalveolar lavage samples are obtained, the lungs are perfused with physiologic saline and are resected from the mice. The lungs are fixed with neutralized buffered formalin and embedded in paraffin. Sections (3-µm thick) are stained with H&E or periodic acid-Schiff (PAS). The intensity of histological changes in the lungs is evaluated with four grading scores
30 (0, no inflammation; 1, slight/mild; 2, moderate; and 3, severe), according to the distribution and intensity of the following findings: 1) epithelial shedding or undulation of the nuclei of bronchial epithelial cells, 2) increase in the number of goblet cells, 3) infiltration of inflammatory cells from vessels into the mucosal and submucosal area of the bronchus and peribronchial interstitium, and 4) hypertrophy and thickening of the smooth-muscle cell layer.

35

RT-PCR for analysis of cytokine and chemokine gene expression in the lung

The lungs are removed after perfusion with physiologic saline, and total RNA is extracted using ISOGEN (Nippon Gene) according to the manufacturer's instructions. Total RNA (10 µg) is reverse-transcribed using oligo(dT)15 primer (Promega) and Superscript II RNase H-reverse transcriptase (Invitrogen Life Technologies) at 42°C for 2 h. To ensure that each sample contained the same amount of cDNA, the β-actin cDNA concentration of each sample is first determined using β-actin-specific primers. These samples are amplified for the appropriate number of cycles, such that the amount of PCR product remained on the linear part of the amplification curve. The PCR products are electrophoresed in a 2% agarose gel and were visualized by ethidium bromide staining. The levels of IL-13, eotaxin, IL-10, IFN-γ, and TGF-β are determined using the following specific primer sets.

The sense primer for β-actin 5'-ACGACATGGAGAAGATCTGG-3', and the antisense primer 5'-TCGTAGATGGGCACAGTGTG-3'.

The sense primer for IL-13 5'-TCTTGCTTGCCTTGGTGGTCTCGC-3', and the antisense 5'-GATGGCATTGCAATTGGAGATGTTG-3'.

The sense primer for eotaxin 5'-GGGCAGTAACTTCCATCTGTCTCC-3', and the antisense primer 5'-CACTTCTTCTTGGGGTCAGC-3'.

The sense primer for IL-10 5'-TACCTGGTAGGAGTGATGCC-3', and the antisense 5'-GCATAGAAGCATACATGATG-3'.

The sense primer for IFN-γ 5'-CATAGATGTGGAAGAAAAGA-3', and the antisense 5'-TTGCTGAAGAAGGTAGTAAT-3'.

The sense primer for TGF-β 5'-CTTTAGGAAGGACCTGGGT-3', and the antisense 5'-CAGGAGCGCACAATCATGTT-3'.

25 *Cell cultures, proliferation and cytokine assay*

One day after the final inhalation (day 21) single cell suspensions of spleen and mediastinal lymph nodes are prepared by passing the cells through 70 µm filter cell strainers (Becton/Dickinson Labware). Erythrocytes are removed from the spleen cell suspensions by incubation with red cell lysis buffer. CD4⁺ T cells and CD4⁺CD25⁻ T cells are enriched using CD4⁺ T cell isolation kit (Miltenyi Biotec, Germany) or CD4⁺CD25⁺ regulatory T cell isolation kit (Miltenyi Biotec, Germany), respectively and MACS columns (midiMACS; Miltenyi Biotec).

Proliferation assays of bulk splenocyte and LN populations, 2 x 10⁵ cells are cultured in 96-well U-bottom plates in a total volume of 200 µl complete medium either alone or with purified OVA, and either with or without anti-IL-10 or anti-TGF-β neutralising monoclonal antibodies. OVA is added at concentrations ranging from 1 to 100 µg/ml. The neutralizing antibodies are added at 1, 0.1 and 0.01 µg/ml. For proliferation assays of CD4⁺ T cells and CD4⁺CD25⁻ T cell

- populations, 2×10^5 cells CD4⁺ T cells or CD4⁺CD25⁻ T cells are cultured in 96-well U-bottom plates with mitomycin treated splenocytes that are loaded with 1 mg/ml OVA for 16 h, acting as antigen presenting cells, at ratio's CD4⁺ T cell or CD4⁺CD25⁻ T cell/APCs 1/1, 1/0.3, 1/0.1, 1/0.03, 1/0 in a total volume of 200 μ l complete medium either with or without neutralizing antibodies. After 72 h at 37°C in a 5% CO₂ humidified incubator, proliferation is assessed by addition of 1 μ Ci/well [³H]-thymidin. DNA-bound radioactivity is harvested 18 h later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation is measured on a scintillation counter (Perkin Elmer).
- 10 For cytokine measurements, supernatants of the cell cultures used in the different proliferation assays is collected after 24, 48 and 72 h of culture and frozen at -80° C until cytokine analysis is performed. Cytokine production is quantified using the Mouse Inflammation Cytometric Bead Assay (BD Biosciences, Mountain View, CA, USA).
- 15 *In vivo T regulatory activity assay*
One day after the final inhalation (day 21), spleens of the treated mice are digested with 0.1% collagenase (Sigma-Aldrich) at 37°C for 20 min. In some experiments, single-cell suspensions of whole spleen cells are prepared and cultured with Con A (2 μ g/ml; Sigma-Aldrich) for 48 h. Cells are collected, and 10^7 cells are adoptively transferred i.v. into naïve BALB/c mice. For negative selection, CD4⁺, CD8⁺, CD11c⁺, CD19⁺, or CD11b⁺ cells are depleted from the whole spleen cells using magnetic beads (MACS; Miltenyi Biotec) with biotinylated anti-mouse CD4, CD8, CD11c, CD19, and CD11b mAb (BD Pharmingen), according to the manufacturer's instructions. The efficiency of depletion is examined by flow cytometry (>99%). CD4⁺, CD4⁺CD25⁻ cells are purified using CD4⁺ T cell isolation kit. Regulatory T cell isolation kit following the manufacturer's instructions. The purity of positively selected cells is checked using flow cytometry. For cell transfer experiments, cells are transferred into BALB/c mice from the tail veins just before their first immunization or just after their second immunization with OVA/alum. The number of transferred cells is 10^7 for whole spleen cells, subpopulation-depleted spleen cells, or positively selected CD4⁺ cells and CD4⁺CD25⁻ cells.
- 30 In the humanized SCID (hu-SCID) model (as described by Duez et al., 2000; Hammad et al., 2000)
- In this model, the allergic immune response to the house dust mite (HDM) allergen Der p 1 can be studied. Such hu-SCID mice reconstituted i.p. with PBMC from HDM-allergic patients and subsequently exposed to aerosols of HDM produce human IgE, develop a pulmonary infiltrate composed of activated T cells and DCs, and exhibit AHR in response to bronchoconstrictor

agents (Pestel et al. 1994, J Immunol, 153:3804; Duez et al., Am J Respir Crit Care Med, vol 161, ppp 200-206, 2000).

Bacteria

5 The *L. lactis* strain MG1363 is used throughout this study. Bacteria are cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0.5% glucose. Stock suspensions of all strains are stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions are diluted 200-fold in fresh GM17 and incubated at 30°C. They reached a saturation density of 2×10^9 colony-forming units (CFU) per mL within 16
10 hours. Bacteria are harvested by centrifugation and concentrated 10-fold in BM9 medium. For treatment, each mouse receives 100 µL of this suspension daily by intragastric catheter.

Plasmids

Der p 1, a 222 amino-acid residue globular glycoprotein, is one of the major allergens from
15 *Dermatophagoides pteronyssinus* (Dpt) mites. DNA sequence with optimal *L. lactis* codon usage encoding the Der p 1 protein is synthesized, amplified and fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector downstream of the lactococcal P1 promotor. MG1363 strains transformed with plasmids carrying murine IL-10, Der p 1, Der p 1 aa52-71 and Der p 1 aa117-133 cDNA are designated LL-IL10, LL-Derp1, LL-Derp1aa52-71 and LL-
20 Derp1aa117-133. LL-pT1NX, which is MG1363 containing the empty vector pT1NX, serve as control.

Quantification of Der p 1

Der p 1 from LL-Derp1 is determined using an in house developed Der p 1-specific enzyme-
25 linked immunosorbent assay (ELISA). Production of the recombinant proteins is also assessed by Western blot analysis.

Patients

Blood is collected from donors sensitive or not sensitive to house dust mites. Allergic patients
30 present the usual features of house dust mite sensitization. Skin prick tests toward *Dermatophagoides pteronyssinus* (Dpt) allergen (Stallergènes, Fresnes, France)(diameter \geq 10 mm) are positive, and all patients have serum specific IgE antibodies. Total IgE concentrations are greater than 150 IU/ml (150–1600 IU/ml). Healthy donors are tested as negative controls (total IgE levels are less than 150 IU/ml, and they have negative skin prick
35 tests toward commonly inhaled allergens).

Human Peripheral Blood Mononuclear Cell Preparation

Platelet rich plasma is obtained after centrifugation (120 x g, 15 minutes) and discarded. Blood cells are then diluted in RPMI 1640 (Life Technologies, Paisley, Scotland) (vol/vol) and layered over a Ficoll gradient (Pharmacia, Uppsala, Sweden). After centrifugation (400 x g, 30 minutes), PBMCs are harvested at the interface and washed three times in sterile RPMI medium before transfer.

Mice

C.B.-17 SCID mice (6–8 weeks old) are maintained in isolators with sterilized bedding in a specific animal facility. The SCID colony is regularly checked for absence of mouse serum immunoglobulins by ELISA.

Peripheral Blood Mononuclear Cells Transfer in SCID Mice: PBMC hu-SCID Mice

SCID mice are between 6 and 8 weeks old at the time of cell transfer. The mice are reconstituted by intraperitoneal injection of 10×10^6 mononuclear cells from allergic patients or healthy donors in 400 µl of RPMI via a 23-gauge needle. On the same day, they receive intraperitoneally 2 index reactivity [IR] units Dpt. Four days after the cell reconstitution, SCID mice are exposed to daily allergen aerosols containing 100 IR units of Dpt (100 IR units are equivalent to approximately 200 µg of protein contained in the Dpt extract) for 4 successive days (day 0 to day 4). The control group is not exposed to Dpt. One day before airway responsiveness measurement (day 35 and day 60), hu-SCID mice are exposed to another aerosol of 100 IR units of Dpt solution.

Experimental setting

Mice receive *L. lactis* engineered to express Der p 1 or an irrelevant antigen (OVA) as negative control, combined or not with LL-IL10 or IL-10 protein (1 or 10 µg).

The engineered *L. lactis* bacteria are administered orally to SCID mice using a gastric catheter, using different treatment intervals and doses starting one day after PBMC reconstitution. Induction of oral tolerance is assessed by measuring human serum IgE antibodies, analysis of pulmonary infiltration, measurement of AHR and analysis of cell populations and cytokine production in the BALF. Furthermore, induction of tolerance is assessed by analysis of the proliferative T cell response against Der p 1.

Assessment of Airway responsiveness (AHR)

Airway responsiveness (expressed as provocative dose of carbachol causing a 50% increase in lung resistance) is measured on day 35 or day 60 as described by Duez et al. 2000.

Human IgE measurements

Several days after transplantation with human cells, mice are bled from the retro-orbital sinus under ether anesthesia. Total human IgE is investigated by a two-site immuno-radiometric method with the use of two different mouse mAbs specific for the ϵ -chain (Immunotech International, Luminy, France). At least 20 μ l of serum is used in a duplicate test. The sensitivity of the method permits the detection of 0.1 IU/ml (0.24 ng/ml).

Specific IgE Ab against *Dpt* allergen is quantified by ELISA. Briefly, plastic tubes (Maxisorb Startube, Nunc, Denmark) are coated overnight with *Dpt* allergen in 0.1 M carbonate/bicarbonate buffer (pH 9.6) at 4°C and saturated with 1% BSA in 0.1 M PBS (pH 7.4) for 2 h at room temperature. After washing, the tubes are incubated for 2 h at room temperature and overnight at 4°C with Hu-SCID mice serum diluted in PBS containing BSA (1%) and Tween (0.01%). After extensive washings, a HRP-labeled anti-human IgE Ab is added. After washing, substrate [3,3',5,5' tetramethylbenzidine (TMB) substrate reagent, Pharmingen, Becton Dickinson, Erembodegem, Belgium] is added to each well. Finally, reactions are stopped by adding 1M H₂SO₄ to the wells. The absorbances are read at 450 nm.

Histological examination of the lung.

Lungs are excised at day 35 and fixed in paraformaldehyde and processed for paraffin embedding. Paraffin tissue sections are stained for the detection of human CD45⁺ cells after which human cells on the murine lung sections were quantified by histological scoring as described by Duez et al. 2000.

Analysis of bronchoalveolar lavage fluid (BALF)

BALF is analysed as described in the OVA allergen model.

Cell cultures, proliferation and cytokine assay:

Single cell suspensions of spleen are prepared by passing the cells through 70 μ m filter cell strainers (Becton/Dickinson Labware). Erythrocytes are removed from the spleen cell suspensions by incubation with red cell lysis buffer. CD4⁺ T cells and CD4⁺CD25⁻ T cells are enriched using human CD4⁺ T cell isolation kit (Miltenyi Biotec, Germany) or human CD4⁺CD25⁺ Regulatory T cell isolation kit (Miltenyi Biotec, Germany), respectively and MACS columns (midiMACS; Miltenyi Biotec).

Proliferation assays of bulk splenocyte, 2 x 10⁵ cells are cultured in 96-well U-bottom plates in a total volume of 200 μ l complete medium either alone or with purified Der p 1, and either with or without anti-IL-10 or anti-TGF- β neutralising monoclonal antibodies. Der p 1 is added at

concentrations ranging from 1 to 100 µg/ml. The neutralizing antibodies are added at 1, 0.1 and 0.01 µg/ml. For proliferation assays of human CD4⁺ T cells and human CD4⁺CD25⁻ T cell populations, 2 x 10⁵ cells CD4⁺ T cells or CD4⁺CD25⁻ T cells are cultured in 96-well U-bottom plates with mitomycin treated human PBMC that are loaded with 1 mg/ml Der p 1 for 16 h, acting as antigen presenting cells, at ratio's CD4⁺ T cell or CD4⁺CD25⁻ T cell/APCs 1/1, 1/0.3, 1/0.1, 1/0.03, 1/0 in a total volume of 200 µl complete medium either with or without neutralizing antibodies. After 72 h at 37°C in a 5% CO₂ humidified incubator, proliferation is assessed by addition of 1 µCi/well [³H]-thymidin. DNA-bound radioactivity is harvested 18 h later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation is measured on a scintillation counter (Perkin Elmer).

For cytokine measurements, supernatants of the cell cultures used in the different proliferation assays is collected after 24, 48 and 72 h of culture and frozen at -80° C until cytokine analysis is performed. Cytokine production is quantified using the Human Inflammation Cytometric Bead Assay (BD Biosciences, Mountain View, CA, USA).

Example C1: LL-IL10 significantly enhances the tolerance-inducing capacity of LL-OVA and LL-Der p 1 in OVA- and huSCID mice model for asthma, respectively.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). Addition of LL-IL-10 significantly enhances the tolerance induction towards OVA/Derp1 as the allergen-specific proliferative response of the splenocytes is significantly reduced in the LL-OVA/Derp1+LL-mIL-10 group in comparison to the control and LL-OVA/Derp1 groups.

Example C2: LL-IL10 potentiates oral tolerance in association with reduced AHR, eosinophilic infiltration, serum IgE levels, and lowered IL-13, IL-4 and IL-5 cytokine production in response to said allergen.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). AHR, eosinophilic BALF infiltration, IgE titer as well as cytokine production in response to said factor is determined as described above. AHR, eosinophilic BALF infiltration, IgE titer is strongly reduced, and IL-13, IL-4 and IL-5 significantly lowered in the LL-OVA/Derp1+LL-mIL-10 group in comparison to the control and LL-OVA/Derp1 groups.

Example C3: LL-IL10 enhances oral tolerance via CD4⁺ T cells.

To assess whether CD4 T cells mediate the induction of oral tolerance, the allergen-specific proliferative CD4 T-cell response is studied in the splenocytes and lymph nodes. Therefore, mice are orally fed as described above (*experimental setting*) and the allergen-specific CD4⁺ T

cell proliferation is determined as described in *Cell cultures, proliferation and cytokine assay*. The allergen-specific CD4 T cell response in the LL-OVA/Derp1+LL-mIL-10 group is significantly reduced in comparison to the control and LL- OVA/Derp1 groups.

5 Example C4: IL-10 is less effective than LL-IL10 in potentiating oral tolerance

To assess whether LL-IL10 is as effective as IL-10, mice are orally fed as described above (*experimental setting*). The allergen-specific proliferative CD4 T-cell response is studied in the splenocytes and lymph nodes. The allergen-specific CD4 T cell response in the LL-OVA/Derp1+LL-mIL-10 group is significantly reduced in comparison to the LL-OVA/Derp1 + IL-
10 10 group.

Example C5: Antigen-induced T regulatory cells following LL-OVA - LL-IL10 combination therapy can transfer protection from asthma-like responses in vivo

In order to test for active suppression of asthma-like responses in mice treated with the oral
15 tolerance protocol, we adoptively transfer splenocytes from the different treated groups as described above (*In vivo T regulatory activity assay*). Compared with controls and LL-OVA groups, asthma-like responses are significantly reduced in the LL-OVA + LL-mIL-10 group, indicating activation of regulatory CD4⁺ T cells in our combination oral tolerance protocol.

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Example D: Induction of tolerance to alpha-gliadin following oral administration of *L. lactis* secreting said allergen in combination with *in situ* delivered IL-10

Introduction

25 Celiac disease, also known as celiac sprue or gluten-sensitive enteropathy, is a chronic inflammatory disease that develops from an immune response to specific dietary grains that contain gluten. Celiac is a complex multigenic disorder that is strongly associated with the genes that encode the human leukocyte antigen variants HLA-DQ2 or HLA-DQ8. One of the most important aspects in the pathogenesis of Celiac is the activation of a T-helper 1 immune
30 response. This arises when antigen-presenting cells that express HLA-DQ2/DQ8 molecules present the toxic gluten peptides to CD4(+) T-cells. Both classes of gluten proteins, gliadins and glutenins, contain peptides that bind DQ2 and DQ8. It is generally accepted that the immune response, such as the production of IFN- γ from gluten-specific T cells, triggers destruction of the mucosa in the small intestine of celiac disease patients. Hence, the
35 activation of a detrimental immune T cell response in the intestine of celiac disease patients appears to be key in the initiation and progression of the disease.

Here, we demonstrate that oral delivery of gliadin peptides in combination with IL-10 producing *L. lactis* suppresses gliadin-specific immune responses via the induction of antigen-specific CD4⁺ regulatory T cells.

5 Material and Methods to the examples

Bacteria

The *L. lactis* strain MG1363 is used throughout this study. Bacteria are cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0.5% glucose. Stock suspensions of all strains are stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions are diluted 200-fold in fresh GM17 and incubated at 30°C. They reached a saturation density of 2×10^9 colony-forming units (CFU) per mL within 16 hours. Bacteria are harvested by centrifugation and concentrated 10-fold in BM9 medium. For treatment, each mouse receives 100 µL of this suspension daily by intragastric catheter.

15 *Plasmids*

DNA sequence with optimal *L. lactis* codon usage encoding alpha-gliadin protein (based on sequence of Triticum aestivum, AJ133612), HLA-DQ8 (corresponding to the residues 203-220, sequence QYPSGQGSFQPSQQNPQA of UniProtKB/TrEMBL entry Q9M4L6) and HLA-DQ8 deamidated form (corresponding to the residues 203-220, sequence QYPSGEGSFQPSQENPQA of UniProtKB/TrEMBL entry Q9M4L6) gliadin peptides are synthesized, amplified and fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector, downstream of the lactococcal P1 promoter.

MG1363 strains transformed with plasmids carrying murine IL-10, alpha-gliadin, HLA-DQ8, and HLA-DQ8 deamidated are designated LL-IL10, LL-HLA/DQ8, LL-HLA/DQ8d. LL-pT1NX, which is MG1363 containing the empty vector pT1NX, serve as control.

Quantification of HLA-DQ8 and DQ8d

HLA-DQ8 and HLA-DQ8d from LL-HLA/DQ8 and LL-HLA/DQ8d is determined using an in house developed ELISA. Production of the recombinant proteins is also assessed by Western blot analysis.

Mice

HLA-DQ8 transgenic mice (Senger et al. 2003) are maintained under specific pathogen-free conditions on a gluten-free diet and used at the age of 8-14 weeks. Mice are immunized by intrafoodpad injections with 50 µg crude gluten (Sigma-Aldrich) in 50 µl CFA (Difco; BD).

Induction of oral tolerance

For tolerization experiments, LL-HLA/DQ8, LL-HLA/DQ8d alone or combined with IL-10 (1 or 10 µg) or LL-IL10, LL-IL10 alone, IL-10 alone (1 or 10 µg), LL-pT1NX or water (non fed control) is administered before and after immunisation using different treatment intervals and doses. As

5 positive controls for oral tolerance induction, mice are fed 50 mg doses of wheat gliadin or recombinant alpha-gliadin, dissolved in water from the stock solution, on days -7, -6, -5, -4 before immunisation (day 0).

Measurement of serum gliadin-specific Ig

- 10 Crude gliadin (Sigma-Aldrich) is resuspended in methanol at 10 mg/ml, and then diluted into absolute ethanol at a concentration of 1 µg/ml. One hundred microliters of the 1 µg/ml gliadin ethanol solution is placed into each well of an Immulon 2 plate (Fisher Scientific International Inc.) and is then allowed to dry under a hood. The plate is then blocked with 4% BSA/PBS for 2 hours at 37°C. The plate is washed with 1× PBS, 0.05% Tween-20. Sample sera is diluted
- 15 into 0.1% BSA/PBS 1:200, 1:400, and 1:800 and incubated for 1 hour at 37°C. Detection antibodies are biotinylated rat anti-mouse IgA from Accurate Chemical & Scientific Corp., and biotinylated anti-mouse IgG from Jackson ImmunoResearch Laboratories Inc. The enzyme conjugate is streptavidin-HRP, and the substrate is TMB.

20 *Cell cultures, proliferation and cytokine assay*

Single cell suspensions of spleen and mediastinal lymph nodes are prepared by passing the cells through 70 µm filter cell strainers (Becton/Dickinson Labware). Erythrocytes are removed from the spleen cell suspensions by incubation with red cell lysis buffer. CD4⁺ T cells and CD4⁺CD25⁻ T cells are enriched using CD4⁺ T cell isolation kit (Miltenyi Biotec, Germany) or

25 CD4⁺CD25⁺ regulatory T cell isolation kit (Miltenyi Biotec, Germany), respectively and MACS columns (midiMACS; Miltenyi Biotec).

- Proliferation assays of bulk splenocyte and LN populations, 2 x 10⁵ cells are cultured in 96-well U-bottom plates in a total volume of 200 µl complete medium either alone or with crude gliadin
- 30 or synthetic HLA-DQ8/DQ8d, and either with or without anti-IL-10 or anti-TGF-β neutralising monoclonal antibodies. Antigens are added at concentrations ranging from 1 to 100 µg/ml. The neutralizing antibodies are added at 1, 0.1 and 0.01 µg/ml. For proliferation assays of CD4⁺ T cells and CD4⁺CD25⁻ T cell populations, 2 x 10⁵ cells CD4⁺ T cells or CD4⁺CD25⁻ T cells are cultured in 96-well U-bottom plates with mitomycin treated splenocytes that are loaded with 1
- 35 mg/ml crude gliadin or synthetic HLA-DQ8/DQ8d for 16 h, acting as antigen presenting cells, at ratio's CD4⁺ T cell or CD4⁺CD25⁻ T cell/APCs 1/1, 1/0.3, 1/0.1, 1/0.03, 1/0 in a total volume of 200 µl complete medium either with or without neutralizing antibodies. After 72 h at 37°C in a

5% CO₂ humidified incubator, proliferation is assessed by addition of 1 µCi/well [³H]-thymidin. DNA-bound radioactivity is harvested 18 h later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation is measured on a scintillation counter (Perkin Elmer).

5

For cytokine measurements, supernatants of the cell cultures used in the different proliferation assays is collected after 24, 48 and 72 h of culture and frozen at -80° C until cytokine analysis is performed. Cytokine production is quantified using the Mouse Inflammation Cytometric Bead Assay (BD Biosciences, Mountain View, CA, USA).

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Example D1: LL-IL10 significantly enhances the tolerance-inducing capacity of LL-HLA/DQ8d
To study the induction of oral tolerance, mice are orally fed as described above (*Induction of oral tolerance*). Addition of LL-IL-10 significantly enhances the tolerance induction towards
15 HLA-DQ8d as the HLA-DQ8d-specific proliferative response of the splenocytes is significantly reduced in the LL-HLA/DQ8d+LL-mIL-10 group in comparison to the control and LL-HLA/DQ8d groups.

Example D2: LL-IL10 potentiates oral tolerance in association with reduced production of IFN-γ
20 in response to said allergen.

To study the induction of oral tolerance, mice are orally fed as described above (*Induction of oral tolerance*). Cytokine production in response to HLA-DQ8d is quantified as described above (*Cell cultures, proliferation and cytokine assay*). In splenocytes and lymph nodes, the production of the proinflammatory cytokine IFN-γ is strongly reduced in the LL-HLA/DQ8d+LL-
25 mIL-10 group in comparison to the control and LL-HLA/DQ8d groups.

Example D3: LL-IL10 enhances oral tolerance via CD4+ T cells.

To assess whether CD4 T cells mediate the induction of oral tolerance, the DQ8-specific proliferative CD4 T-cell response is studied in the splenocytes and lymph nodes. Therefore,
30 mice are orally fed as described above (*Induction of oral tolerance*) and the DQ8-specific CD4+ T cell proliferation is determined as described in *Cell cultures, proliferation and cytokine assay*. The DQ8-specific CD4 T cell response in the LL-HLA/DQ8d+LL-mIL-10 group is significantly reduced in comparison to the control and LL-HLA/DQ8d groups.

35 Example D4: IL-10 is less effective than LL-IL10 in potentiating oral tolerance

To assess whether LL-IL10 is as effective as IL-10, mice are orally fed as described above (*Induction of oral tolerance*). The DQ8-specific proliferative CD4 T-cell response is studied in

the splenocytes and lymph nodes. The DQ8-specific CD4 T cell response in the LL-HLA/DQ8d+LL-mIL-10 group is significantly reduced in comparison to the LL-HLA/DQ8d + IL-10 group.

- 5 Example E: Induction of tolerance to BLG food allergen following oral administration of *L. lactis* secreting said allergen in combination with *in situ* delivered IL-10

Introduction

Food allergy is a disease affecting approximately 2% to 5% of the population. In human
10 beings, elevated IgE antibodies as well as the presence of IL-4-producing, antigen-specific T lymphocytes suggest a Th2-skewed mechanism.

Here, we demonstrate that oral delivery of a food allergen in combination with IL-10 producing *L. lactis* suppresses allergen-specific immune responses via the induction of antigen-specific CD4⁺ regulatory T cells.

15

Material and Methods to the examples

Bacteria and plasmids

The *L. lactis* strain MG1363 is used throughout this study. Bacteria are cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0.5% glucose. Stock
20 suspensions of all strains are stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions are diluted 200-fold in fresh GM17 and incubated at 30°C. They reach a saturation density of 2×10^9 colony-forming units (CFU) per mL within 16 hours. Bacteria are harvested by centrifugation and concentrated 10-fold in BM9 medium. For treatment, each mouse receives 100 µL of this suspension daily by intragastric catheter.

- 25 Bovine β -lactoglobulin cDNA is amplified and fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector, downstream of the lactococcal P1 promotor.

MG1363 strains transformed with plasmids carrying murine IL-10 or BLG, are designated LL-IL10 and LL-BLG. LL-pT1NX, which is MG1363 containing the empty vector pT1NX, serve as control.

30

Quantification of bovine β -lactoglobulin (BLG)

BLG from LL-BLG are determined using an in house developed BLG-specific enzyme-linked immunosorbent assay (ELISA) and Western blot analysis.

- 35 *Experimental setting*

The murine model of food allergy used to explore the protective effect of *L. lactis* is a mouse model of food-induced IgE-type response as described by Frossard et al. (J Allergy Clin

Immunol 113:958-964, 2004). Mice receive LL-BLG or an irrelevant antigen (OVA) as negative control, combined or not with LL-IL10 or recombinant IL-10 (1 or 10 µg). As a positive control for tolerance induction, mice receive a high dose of BLG in the drinking water that prevents the mice from anaphylaxis upon oral challenge with BLG.

5

In a prophylactic setting, the engineered *L. lactis* bacteria that produce BLG are administered orally to the mice using a gastric catheter, using different treatment intervals and doses. Subsequently, these recipient mice are orally challenged with purified BLG antigen, in the presence of cholera toxin. Control animals are exposed to *L. lactis* engineered with a control vector that does not express BLG (but OVA instead). Induction of tolerance is assessed by analysis of anaphylaxis after intragastric antigen challenge, by measuring BLG-specific IgG1, IgG2a and IgE titers in serum and faeces, by determining the number of antibody secreting cells in spleen and PP, by analysis of the T cell proliferation and cytokine production in MLN, PP and spleen.

15

To evaluate whether the induction of immune tolerance towards BLG could be enhanced by IL-10, mice are administered with LL-BLG along with LL-IL10.

Oral sensitization to BLG.

Four- to 5-week-old female C3H/HeOuj mice (Charles River) are immunized at days 0, 7, 14, and 21 by intragastric gavage with 20 mg of BLG (Sigma) and 10 µg of CTX, purchased from List Biological Laboratories in 0.2 mol/L NaHCO₃. The positive control group (tolerized mice) receive 0.8 mg/mL BLG in their drinking water ad libitum for 4 weeks. The total amount of protein given (22.4 mg) is similar to the total amount of BLG given to the sensitized mice. To demonstrate that the tolerization procedure also enduringly activate the peripheral and not only the mucosal immune system, a group of tolerized mice is injected twice with 80 µg ip BLG adsorbed to 1 mg alum at days 28 and 42.

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Antigen challenge

On day 28, all mice are challenged by intragastric gavage with 100 mg BLG in 0.4 mL 0.2 mol NaHCO₃. Anaphylaxis is observed and graded by using a reaction score (0, no reaction, to 3, severe reaction or death) described in detail elsewhere (Frossard et al., 2001). The core body temperature is measured by infrared at the ear before challenge and 30 minutes after gavage. The animals are killed, and blood is collected by cardiac puncture into EDTA-containing tubes, and plasma is obtained for histamine measurement by commercial ELISA kit (Immunotech, Marseille, France).

35

Cell cultures, proliferation and cytokine assay

Single cell suspensions of spleen, mesenteric lymph nodes and PP are prepared as described by Frossard et al. (2004). CD4⁺ T cells and CD4⁺CD25⁻ T cells are enriched using CD4⁺ T cell isolation kit (Miltenyi Biotec, Germany) or CD4⁺CD25⁺ Regulatory T cell isolation kit (Miltenyi Biotec, Germany), respectively and MACS columns (midiMACS; Miltenyi Biotec).

Proliferation assays of bulk splenocyte and LN populations, 2×10^5 cells are cultured in 96-well U-bottom plates in a total volume of 200 μ l complete medium either alone or with purified BLG, and either with or without anti-IL-10 or anti-TGF- β neutralising monoclonal antibodies. BLG is added at concentrations ranging from 1 to 100 μ g/ml. The neutralizing antibodies are added at 1, 0.1 and 0.01 μ g/ml. For proliferation assays of CD4⁺ T cells and CD4⁺CD25⁻ T cell populations, 2×10^5 cells CD4⁺ T cells or CD4⁺CD25⁻ T cells are cultured in 96-well U-bottom plates with mitomycin treated splenocytes that are loaded with 1 mg/ml BLG for 16 h, acting as antigen presenting cells, at ratio's CD4⁺ T cell or CD4⁺CD25⁻ T cell/APCs 1/1, 1/0.3, 1/0.1, 1/0.03, 1/0 in a total volume of 200 μ l complete medium either with or without neutralizing antibodies. After 72 h at 37°C in a 5% CO₂ humidified incubator, proliferation is assessed by addition of 1 μ Ci/well [³H]-thymidin. DNA-bound radioactivity is harvested 18 h later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation is measured on a scintillation counter (Perkin Elmer).

For cytokine measurements, supernatants of the cell cultures used in the different proliferation assays is collected after 24, 48 and 72 h of culture and frozen at -80° C until cytokine analysis will be performed. Cytokine production is quantified using the Mouse Inflammation Cytometric Bead Assay (BD Biosciences, Mountain View, CA, USA).

In vivo T regulatory activity assay

In order to test for active suppression of antibody formation in mice, splenocytes, bead-purified CD4⁺ T cells, CD4⁺CD25⁻ or CD4⁺CD25⁺ T cells isolated from the different experimental *L. Lactis*-treated groups are adoptively transferred to naïve C3H/HeOuJ mice. Untreated mice are used as control. The number of transferred cells is 10^7 for whole spleen cells, subpopulation-depleted spleen cells, or positively selected CD4⁺ cells and CD4⁺CD25⁻ and CD4⁺CD25⁺ T cells. If Tregs are implicated, subsequent challenge of these mice with BLG antigen should prevent induction of humoral immune responses against BLG and anaphylaxis.

Enzyme-linked immunoassays for BLG-specific serum and feces antibodies.

Sera are obtained from tail bleedings at day 0, 7, 14, 21 and 28. Feces are obtained at the same times and resuspended in PBS plus 1% FCS (Life technologies) supplemented with

pepstatin 1:1000 (Fluka) at 0.1 mg/mL. The samples are mechanically disaggregated and vortexed for 2 minutes, followed by two centrifugations at 4°C for 20 minutes at 14,000 rpm.

Sera and feces are assayed for BLG-specific IgE, IgG1, IgG2a and/or IgA antibody levels by a method adapted from Adel-Patient et al. (2000, J. Immunol Methods). In brief, MaxiSorp microtiter plates (Nunc) are coated for 18 hours at room temperature with 250 ng/well streptavidin (Fluka), followed by 300 µL of a solution of polyvinylpyrrolidone K25 (Fluka) overnight. One microgram of biotinylated BLG is incubated for 3 hours, and diluted sera (1:6666 and 1:2222 for IgG1, 1:666 and 1:222 for IgG2a, 1:66 and 1:22 for IgE) or feces (1:3, 1:10, and 1:33) in PBS plus 10% horse serum is added in duplicates in presence of 0.5 µg/mL goat anti-mouse IgA, rat anti-mouse IgG1 or anti-mouse IgG2a peroxidase-labeled antibodies (Southern Biotechnologies) for 2 hours. For IgE measurement, a monoclonal rat anti-mouse IgE Ab (clone R35-72, BD Pharmingen) followed by peroxidase-coupled anti-rat Ab (Caltag) is added. Optical density is measured at 490 nm. Results are expressed as arbitrary units, with pooled sera from BLG plus alum-immunized mice used as a reference serum.

Antigen-specific antibody production measured by means of ELISPOT.

Peyer's patches are excised mechanically from the gut and incubated for 30 minutes in HBSS medium supplemented with 5 mmol EDTA (Life Technologies). Similarly, Peyer patches and mesenteric lymph nodes are gently crushed and filtered through a 70-µm nylon filter. Spleen cells are preincubated for 5 minutes in Tris-buffered NH₄Cl to remove red blood cells. Lymphoblasts are isolate on a Percoll 60%/66% gradient (Amersham).

For the measurement of BLG-specific IgG1, IgG2a and IgA antibodies, ELISPOT plates (Millipore) are coated with streptavidin overnight at 37°C, followed by addition of 1 µg of biotinylated BLG for 3 hours. Lymphoblasts isolated on a Percoll 60%/66% gradient from are resuspended at two different concentrations, 1 and 2×10⁶ in Iscove's modified Dulbecco's medium supplemented with penicillin, streptomycin, L-glutamine, gentamicin, polymixin B, and 5% FCS for 24 hours at 37°C, followed by overnight incubation at 4°C with anti-IgA, anti-IgG1 and anti-IgG2a antibodies (Southern Biotechnology). Amino-ethyl-carbazole, 100 µL/well, is added for 10 minutes, and the spots are automatically counted by using the KS ELISPOT 4.2.1 Software (Zeiss) and expressed as cell-forming units per 10⁶ cells (CFU).

Example E1: LL-IL10 significantly enhances the tolerance-inducing capacity of LL-BLG in murine model of food allergy.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). Addition of LL-IL-10 significantly enhances the tolerance induction towards BLG as

the allergen-specific proliferative response of the splenocytes is significantly reduced in the LL-BLG+LL-mIL-10 group in comparison to the control and LL-BLG groups.

5 Example E2: LL-IL10 potentiates oral tolerance in association with reduced BLG-specific antibody response and lowered IL-4 cytokine production in response to said allergen.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). BLG-specific antibody response and cytokine production in response to said factor is determined as described above. BLG-specific antibodies levels and IL-4 are significantly lowered in the LL-BLG+LL-mIL-10 group in comparison to the control and LL-BLG groups.

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Example E3: LL-IL10 enhances oral tolerance via CD4⁺ T cells.

To assess whether CD4⁺ T cells mediate the induction of oral tolerance, the allergen-specific proliferative CD4⁺ T-cell response is studied in the splenocytes and lymph nodes. Therefore, mice are orally fed as described above (*experimental setting*) and the allergen-specific CD4⁺ T cell proliferation is determined as described in *Cell cultures, proliferation and cytokine assay*.
15 The allergen-specific CD4⁺ T cell response in the LL-BLG+LL-mIL-10 group is significantly reduced in comparison to the control and LL-BLG groups.

Example E4: IL-10 is less effective than LL-IL10 in potentiating oral tolerance

20 To assess whether LL-IL10 is as effective as IL-10, mice are orally fed as described above (*experimental setting*). The allergen-specific proliferative CD4⁺ T-cell response is studied in the splenocytes and lymph nodes. The allergen-specific CD4⁺ T cell response in the LL-BLG+LL-mIL-10 group is significantly reduced in comparison to the LL-BLG + IL-10 group.

25 Example E5: Antigen-induced T regulatory cells following LL-BLG - LL-IL10 combination therapy can transfer protection from allergic-like responses in vivo

In order to test for active suppression of allergic-like responses in mice treated with the oral tolerance protocol, we adoptively transfer splenocytes from the different treated groups as described above (*In vivo T regulatory activity assay*). Compared with controls and LL-BLG
30 groups, allergic-like responses are significantly reduced in the LL-BLG + LL-mIL-10 group, indicating activation of regulatory CD4⁺ T cells in our combination oral tolerance protocol.

Example F: Induction of tolerance to insulin following oral administration of *L. lactis* secreting said allergen in combination with *in situ* delivered IL-10

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Introduction

Autoimmunity is characterized by spontaneous inflammatory tissue damage and by impaired physiological function resulting from loss of tolerance to self-antigen. It is associated with a partially overactive immune system, which is characterized by an excess of T helper (Th) cells.

- 5 Predisposing factors, such as susceptibility genes and environmental factors are difficult to influence, therefore recent efforts to develop immunotherapies are focused on re-establishing the functional balance between pathogenic effector cells and immunoregulatory T cells by depleting the former and/or enhancing the latter. Autoimmune destruction of pancreatic islet beta cells is the major cause of Type 1 diabetes mellitus (T1D). This destruction is associated
10 with cellular and humoral immune responses to several beta cell autoantigens, both of which can precede the clinical onset of disease.

Here, we demonstrate that oral delivery of an autoantigen in combination with IL-10 producing *L. lactis* suppresses diabetic-specific immune responses via the induction of antigen-specific CD4⁺ regulatory T cells.

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Material and Methods to the examples

Bacteria and plasmids

The *L. lactis* strain MG1363 is used throughout this study. Bacteria are cultured in GM17 medium, i.e. M17 (Difco Laboratories, Detroit, MI) supplemented with 0.5% glucose. Stock
20 suspensions of all strains are stored at -20°C in 50% glycerol in GM17. For intragastric inoculations, stock suspensions are diluted 200-fold in fresh GM17 and incubated at 30°C. They reach a saturation density of 2×10^9 colony-forming units (CFU) per mL within 16 hours. Bacteria are harvested by centrifugation and concentrated 10-fold in BM9 medium. For treatment, each mouse receives 100 µL of this suspension daily by intragastric catheter.

- 25 DNA sequence with optimal *L. lactis* codon usage encoding the human proinsulin II B24-C36 peptide (hpIIp), porcine insulin and immunodominant-peptide InsB₉₋₂₃ (B9-23 is essentially the same across many species human, rat and mouse) are synthesized, amplified and fused to the Usp45 secretion signal of the erythromycin resistant pT1NX vector, downstream of the lactococcal P1 promotor.

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MG1363 strains transformed with plasmids carrying murine IL-10, hpIIp, Insulin, InsB₉₋₂₃ are designated LL-IL10, LL-hpIIp, LL-insulin, LL- InsB₉₋₂₃. LL-pT1NX, which is MG1363 containing the empty vector pT1NX, served as control. Expression of these proteins is determined using antigen-specific ELISA and Western blot analysis.

Mice

- Non-obese female and male diabetic (NOD) mice and NOD-severe combined immunodeficient (SCID) (Balb/c background) mice are purchased from the Jackson laboratory. Balb/c wild type (WT) mice are purchased from Charles River Italy. Mice are maintained in a specific pathogen-free central animal facility. Mice are treated and used in agreement with the institutional guidelines.

Experimental setting

- In a *prophylactic* setting, the LL-hpIIp, LL-insulin, LL- InsB₉₋₂₃ are administered orally to NOD mice starting from day 21 of age (weaning), alone or along with LL-IL10 or recombinant mouse IL-10 (1-10 µg), and using the optimal feeding regime or until 100 days of age (when most mice develop diabetes). In addition, LL-pT1NX are administered orally as a negative control. For the positive (tolerizing) control group, 3-week-old NOD mice are treated orally with 0,8 mg human insulin for 3 times a week for 2 or 4 weeks. Development of diabetes is determined by continuous monitoring of urine glucose levels three times a week and in case of glucosuria monitoring of blood glucose levels. Pancreases are collected at 12-23 weeks and at the end of experiment (35 weeks), and serial sections are stained with hematoxylin/eosin to score mononuclear cell infiltration or by immunohistochemistry to analyse T cell infiltration.
- In a *therapeutic* setting the LL-hpIIp, LL-insulin, LL- InsB₉₋₂₃ are administered orally, alone or along with LL-IL10 or recombinant mouse IL-10, to diabetic NOD females showing stable glycosuria and hyperglycemia (12-23 weeks). In addition, LL-pT1NX is administered orally as a negative control. For the positive (tolerizing) control group, diabetic NOD mice are treated as described in Bresson et al. 2006. Complete remission is defined as the disappearance of glycosuria and a return to normal glycemia.
- The precise mechanisms of tolerance induction are analyzed *in vitro*, *in vivo* after re-challenging the NOD mice with specific autoantigens and by adoptive T-cell transfer into NOD-SCID mice.

30 *Detection of diabetes:*

Glucose monitoring: urine glucose is measured by using Diastix (Miles) and is confirmed by blood glucose measurements with the blood glucose monitoring system OneTouch Ultra (LifeScan Inc.). Diabetes is defined as 2 consecutive blood glucose values superior to 250 mg/dl.

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Insulinitis: Mice are killed by CO₂ asphyxiation and the pancreas is fixed in 10% formalin overnight, embedded in paraffin, and serial 5 µm sections are stained with haematoxylin and

eosin. The insulinitis score (mean \pm SD) is determined by microscopically grading the degree of cellular infiltration in 10-15 islets/mouse as follows: 0, no visible sign of islet infiltration; 1, peri-islet infiltration; 2, < 50% infiltration; 3, > 50% infiltration.

5 *Immunohistochemistry*

To detect insulin, CD4 and CD8 expression in pancreatic β cells, primary Abs (guinea pig anti-swine insulin from Dako [dilution 1:300], anti-CD4 RM4.5 and anti-CD8a IHC from BD Biosciences [dilution 1:50] are applied to frozen tissue sections as described in Christen et al., 2004.

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In vitro proliferation assay

Single cell suspensions of spleen, mesenteric LN (MLNs) and PLNs are prepared. Proliferation assays of total splenocyte populations, 2×10^5 cells are cultured in 96-well U-bottom plates in a total volume of 200 μ l complete medium either alone or with graded concentrations (1-100 μ g/ml) of purified human insulin or peptides specific for CD4 T cells (InsB₉₋₂₃, H-2^d or ^g restricted) or for CD8 T cells (InsB₁₅₋₂₃, K^d restricted) (Sigma), and either with or without anti-IL-10 or anti-TGF- β neutralising monoclonal antibodies. The neutralizing antibodies are added at 1, 0.1 and 0.01 μ g/ml. For proliferation assays of total CD3⁺ T cells, CD8⁺ T cells, CD4⁺ T cells and CD4⁺CD25⁻ T cell populations, 0.2×10^5 cells T cells are cultured in 96-well U-bottom plates with 1×10^5 irradiated splenocytes from WT Balb/c mice loaded with insulin or GAD65 or peptides specific for CD4⁺ or CD8⁺ T cells, in a total volume of 200 μ l complete medium either with or without neutralizing antibodies. After 72 hr at 37°C in a 5% CO₂ humidified incubator, proliferation is assessed by addition of 1 μ Ci/well [³H]-thymidin. DNA-bound radioactivity is harvested 16-18 hr later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation is measured on a scintillation counter (Perkin Elmer). T-cells are purified from PLNs or spleens by negative selection through magnetic bead separation using CD3⁺, CD4⁺ or CD8⁺ isolation kit (MACS; Milteny Biotec, Auburn, CA). CD4⁺ T cells are used as total cells or further separated into CD25⁺ and CD25⁻ by MACS using CD25⁺ isolation kit (Milteny Biotec). The purity (> 90%) of the cell populations is determined by flow cytometric analysis.

30

For cytokine measurements, supernatants of the cell cultures used in the different proliferation assays (antigen-specific stimulation), described above, are collected after 72 h of culture and frozen at -80°C until cytokine analysis is performed. Cytokine production is quantified using the Mouse Inflammation Cytometric Bead Assay (BD Biosciences, Mountain View, CA, USA). Purified CD3⁺ T cells, CD4⁺ T or CD8⁺ T cells are cultured and stimulated in vitro non-specifically with an anti-CD3/anti-CD28 mixture (1 μ g/ml each) for 24 hours or they remain

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unstimulated as control. The supernatants is harvested, and analysed for IL-10, IL-4, IL-5 and IFN γ production using BD™ Cytometric Bead Array flex set on a BD FACSAArray Bioanalyzer using the FCAP array software (BD Biosciences). Capture ELISA experiments are used to determine TGF- β 1 using the Quantikine kit (R&D Systems).

5

In vitro T cell proliferation inhibition assay

2 x 10⁴ purified total splenic CD4⁺CD25⁻ T cells isolated from recently diabetic female NOD (8-12 weeks) are co-cultured with varying numbers of CD8⁺ T cells, CD4⁺ T cells and CD4⁺CD25⁻ T cell populations isolated from the spleen, MLN or PLNs from the different experimental groups in the presence of 2 x 10⁴ T-cell depleted irradiated insuline- or petides-loaded splenocytes from WT Balb/c mice. After 72 hr at 37°C in a 5% CO₂ humidified incubator, proliferation is assessed by addition of 1 μ Ci/well [³H]-thymidin. DNA-bound radioactivity is harvested 16-18 hr later onto glass fiber filter mats (Perkin Elmer, Boston, USA) and thymidine-incorporation measured on a scintillation counter (Perkin Elmer).

15

In vitro cytotoxicity assay

Lymphoblast targets used are Con A-activated splenocytes from BALB/c mice. A total of 10⁶ target cells are labelled with 100 μ Ci of ⁵¹Cr (Amersham International, Buckinghamshire, U.K) for 90 min at 37 °C, washed three times and then incubated with 1 μ g/ml peptide (InsB₁₅₋₂₃ or an irrelevant peptide) at 37 °C for 1 h. Target cells are washed two times and seeded at 10⁴ cells per well. CD8⁺ T cells, isolated from spleen, MLNs and PLNs are added to each well, in triplicate, at various effector : target (E : T) ratios. The plates are centrifuged at 500 rpm for 2 min, and incubated at 37 °C for 4 h. After incubation, supernatants are collected for determination of ⁵¹Cr release [%lysis = 100 x (test cpm - spontaneous cpm)/(total cpm - spontaneous cpm)]. For the indirect killing assay, CD8⁺ T cells are incubated with 5 μ g/ml anti-CD3 antibody (clone 145-2C11, Pharmingen) prior to incubation with effectors.

25

Adoptive transfer of diabetes

NOD-SCID mice at 8-10 wk are injected i.v. with 2 x 10⁷ or i.p. with 5 x 10⁶ splenocytes isolated from diabetic female NOD mice (6 weeks, 12 weeks and 18 weeks) combined with or without graded numbers of bead-purified CD3⁺ T cells, CD8⁺ T cells, CD4⁺ T cells, CD4⁺CD25⁻ or CD4⁺CD25⁺ T cells isolated from the different experimental *L. Lactis*-treated groups. Untreated mice are used as control. Development of diabetes is determined by continuous monitoring of blood glucose levels three times a week.

35

Example F1: LL-IL10 significantly enhances the tolerance-inducing capacity of LL-hpIIp, LL-insulin, LL- InsB₉₋₂₃ in the non-obese diabetic mouse.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). Addition of LL-IL10 significantly enhances the tolerance induction towards autoantigen as the autoantigen-specific proliferative response of the splenocytes is significantly reduced in the LL-hpIIp/insulin/InsB₉₋₂₃+LL-mIL-10 group in comparison to the control and LL-hpIIp/insulin/InsB₉₋₂₃ groups.

Example F2: LL-IL10 potentiates oral tolerance in association with reduced insulinitis, decreased rate of beta cell destruction, and increased IL-10 production by splenocytes.

To study the induction of oral tolerance, mice are orally fed as described above (*experimental setting*). The presence of insulinitis, the rate of beta-cell destruction and cytokine production in response to said autoantigen is determined as described above. Histological analysis shows a significant lower degree of insulinitis and beta cell destruction and increased IL-10 production in the LL-hpIIp/insulin/InsB₉₋₂₃+LL-mIL-10 group in comparison to the control and LL-hpIIp/insulin/InsB₉₋₂₃ groups.

Example F3: LL-IL10 enhances oral tolerance via CD4⁺ T cells.

To assess whether CD4 T cells mediate the induction of oral tolerance, the autoantigen-specific proliferative CD4 T-cell response is studied in the splenocytes and lymph nodes. Therefore, mice are orally fed as described above (*experimental setting*) and the autoantigen-specific CD4⁺ T cell proliferation is determined as described (*in vitro proliferation assay*). The autoantigen-specific CD4 T cell response in the LL-hpIIp/insulin/InsB₉₋₂₃+LL-mIL-10 group in comparison to the control and LL-hpIIp/insulin/InsB₉₋₂₃ groups.

Example F4: IL-10 is less effective than LL-IL10 in potentiating oral tolerance

To assess whether LL-IL10 is as effective as IL-10, mice are orally fed as described above (*experimental setting*). The autoantigen-specific proliferative CD4 T-cell response is studied in the splenocytes and lymph nodes. The autoantigen-specific CD4 T cell response in the LL-hpIIp/insulin/InsB₉₋₂₃+LL-mIL-10 group in comparison to the LL-hpIIp/insulin/InsB₉₋₂₃ + IL-10 group.

Example F5: Autoaggressive CD8⁺ responses are suppressed in NOD mice following LL-InsB₁₅₋₂₃ - LL-IL10 combination therapy

To examine whether our combination approach induce suppressive CD4⁺ T cells that are capable of modulating diabetes by bystander suppressive mechanisms, we analyze the effect on CD8⁺ autoaggressive T cells. The percentage and/or activity of antigen-specific autoaggressive CD8⁺ cells is strongly reduced after combination therapy.

Example F6: Antigen-induced T regulatory cells following LL- InsB₁₅₋₂₃ - LL-IL10 combination therapy can transfer protection from allergic-like responses in vivo

- In order to test for active suppression of diabetic-like responses in mice treated with the oral tolerance protocol, we adoptively transfer splenocytes from the different treated groups as
- 5 described above (*adoptive transfer of diabetes*). Compared with controls and LL-InsB₉₋₂₃ group, diabetic-like responses are significantly reduced in the LL-InsB₉₋₂₃+LL-mIL-10 group, indicating activation of regulatory CD4⁺ T cells in our combination oral tolerance protocol.

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CLAIMS

1. The use of an immuno-modulating compound secreting micro-organism in combination with an antigen for the preparation of a medicament, medical food or nutraceutical to induce immune tolerance or to treat an immune response related disease in a mammal.
- 5 2. The use of a composition for the preparation of a medicament, medical food or nutraceutical for treating, preventing and/or alleviating a disease or disorder involving an immune response related disease or to induce immune tolerance in a mammal, characterized in that said composition comprises at least an immuno-modulating
10 compound secreting micro-organism and an antigen.
3. The use according to claim 1 or 2, wherein said mammal is chosen from the group consisting of mouse, rat, dog, cat, cattle, horse, pig and human.
- 15 4. The use according to any of claims 1 to 3, wherein said induction of immune tolerance is at least 1.5, 2, 3 times or more relative to before said induction.
5. The use according to any of claims 1 to 4, wherein said induction of immune tolerance is measured by modulation of a cytokine level in said mammal.
- 20 6. The use according to any of claims 1 to 5, wherein said modulation is an increase of a cytokine level, wherein said cytokine is chosen from the group IL-10, TGF- β , IL-4 and IFN α .
- 25 7. The use according to claim 6, wherein said increase of a cytokine level is at least 1.5, 2, 3 times or more relative to before said induction.
8. The use according to claim 5, wherein said modulation is a decrease of a cytokine level, wherein said cytokine is chosen from the group IFN- γ , IL-2, IL-5, IL-6, IL-12, IL-13 and
30 TNF- α , MCP-1
9. The use according to claim 8, wherein said decrease of the cytokine level is at least 1.5, 2, 3 times or more relative to before said induction.
- 35 10. The use according to any of claims 1 to 9, wherein said antigen provokes a T-cell mediated and/or B-cell mediated immune response.

11. The use according to any of claims 1 to 10, wherein said T-cell mediated immune response is a Th1 immune response.
12. The use according to any of claims 1 to 10, wherein said T-cell mediated immune response is a Th2 immune response.
13. The use according to any of claims 1 to 12, wherein said antigen is an allergen, allo-antigen, self-antigen or auto-antigen.
14. The use according to any of claims 1 to 13, wherein said antigen is involved in the induction of allergic asthma, multiple sclerosis, type I diabetes, autoimmune uveitis, autoimmune thyroiditis, autoimmune myasthenia gravis, rheumatoid arthritis, food allergy or celiac disease.
15. The use according to any of claims 1 to 12, wherein said antigen is a therapeutic antigen, preferably Anti-CD3.
16. The use according to any of claims 1 to 15, wherein said antigen is delivered by an antigen expressing micro-organism.
17. The use according to claim 16, wherein said antigen is displayed at the surface of said antigen expressing micro-organism.
18. The use according to claim 16, wherein said antigen is secreted.
19. The use according to any of claims 1 to 18, wherein said method is therapeutic.
20. The use according to any of claims 1 to 18, wherein said method is prophylactic.
21. The use according to any of claims 1 to 20, wherein said mucosal delivery is chosen from the group consisting of rectal delivery, buccal delivery, pulmonary delivery, ocular delivery, nasal delivery, vaginal delivery and oral delivery.
22. The use according to any of claims 1 to 21, wherein said antigen and/or said immuno-modulating compound expressing micro-organism are delivered by spray, capsule, aerosol, lozenges, bolus, tablet, sachets, liquid, suspension, emulsion or troches.

23. The use according to any of claims 1 to 22, wherein said antigen and said immuno-modulating compound expressing micro-organism are delivered in a unit dosage form, for example a tablet, capsule or metered aerosol dose.
- 5 24. The use according to any of claims 1 to 23, wherein said antigen is delivered simultaneously with or sequential to said immuno-modulating compound secreting micro-organism.
25. The use according to any of claims 1 to 24, wherein said immuno-modulating compound is
10 an immuno-suppressing compound.
26. The use according to claim 25, wherein said immuno-suppressing compound is a tolerance enhancing cytokine or a tolerance enhancing antibody.
- 15 27. The use according to any of claims 1 to 25, wherein said immuno-suppressing compound is an immuno-suppressing cytokine or antibody.
28. The use according to claim 27, wherein said immuno-suppressing cytokine is chosen from the group consisting of IL-4, IL10, IFN- α , Flt3L, TGF β and RANK-L.
20
29. The use according to claim 27, wherein said immuno-suppressing antibody is chosen from the group consisting of anti-IL-2, anti-IL12 and anti-IFN- γ .
30. The use according to any of claims 1 to 29, wherein said micro-organism is a bacterium or
25 yeast.
31. The use according to claim 30, wherein said bacterium is a lactic acid bacterium.
32. The use according to claim 31, wherein said lactic acid bacterium is chosen from the group
30 consisting of *Lactobacillus*, *Leuconostoc*, *Pediococcus*, *Lactococcus*, *Streptococcus*, *Aerococcus*, *Carnobacterium*, *Enterococcus*, *Oenococcus*, *Teragenococcus*, *Vagococcus*, and *Weisella*.
33. The use according to claim 32, wherein said lactococcus is *Lactococcus lactis*.
- 35 34. The use according to claim 30, wherein said yeast is *Saccharomyces cerevisiae*.

35. The use according to any of claims 30 to 34, wherein said micro-organism is a probiotic micro-organism.
36. The use according to any of claims 1 to 35, wherein said immuno-modulating compound is
5 secreted.
37. The use according to any of claims 1 to 35, wherein said immuno-modulating compound is displayed on the surface of the micro-organism.
- 10 38. The use according to any of claims 1 to 37, wherein said antigen and said immuno-modulating compound are expressed by the same micro-organism.
39. The use according to any of claims 1 to 38, wherein said antigen and/or said immuno-modulating compound secreting micro-organism further comprises an adjuvant,
15 pharmaceutical acceptable carrier and/or excipient.
40. The use according to any of claims 1 to 39, wherein said antigen and/or said immuno-modulating compound secreting micro-organism further comprises a compound stimulating production of immuno-suppressing cytokines.
20
41. The use according to claim 40, wherein said compound stimulating production of immuno-suppressing cytokines is cholera toxin B subunit.
42. The use according to any of claims 1 to 41, wherein said antigen and/or said immuno-modulating compound secreting micro-organism is delivered is delivered for at least 1 day,
25 preferably for at least 1 week, more preferably for at least 1 month or 1 year.
43. The use according to any of claims 1 to 42, wherein said antigen and said immuno-modulating compound secreting micro-organism are delivered at least once a day,
30 preferably twice a day.
44. The use according to any of claims 1 to 43, wherein said antigen and/or said immuno-modulating compound secreting micro-organism is delivered in a dose of at least 10 femtogram to 100 mg per day.
35
45. A composition comprising an immuno-modulating compound secreting micro-organism in combination with an antigen.

46. The composition according to claim 45, wherein said composition is a pharmaceutical composition.
- 5 47. The composition according to claim 45 or 46, wherein said antigen is an allergen, allo-antigen, self-antigen or auto-antigen.
48. The composition according to any of claims 45 to 47, wherein said antigen is involved in the induction of allergic asthma, multiple sclerosis, type I diabetes, autoimmune uveitis, 10 autoimmune thyroiditis, autoimmune myasthenia gravis, rheumatoid arthritis, food allergy or celiac disease.
49. The composition according to any of claims 45 to 47, wherein said antigen is a therapeutic antigen, preferably Anti-CD3.
- 15 50. The composition according to any of claims 45 to 49, wherein said antigen is delivered by an antigen expressing micro-organism.
51. The composition according to any of claims 45 to 50, wherein said antigen is displayed at 20 the surface of said antigen expressing micro-organism.
52. The composition according to any of claims 45 to 50, wherein said antigen is secreted.
53. The composition according to any of claims 45 to 52, wherein said antigen and/or said 25 immuno-modulating compound expressing micro-organism are present in a spray, capsule, aerosol, lozenges, bolus, tablet, sachets, liquid, suspension, emulsion or troches.
54. The composition according to any of claims 45 to 53, wherein said antigen and said immuno-modulating compound expressing micro-organism are present in a unit dosage 30 form, for example a tablet, capsule or metered aerosol dose.
55. The composition according to any of claims 45 to 54, wherein said immuno-modulating compound is an immuno-suppressing compound or antibody.
- 35 56. The composition according to claim 55, wherein said immuno-suppressing compound is a tolerance enhancing cytokine or a tolerance enhancing antibody.

57. The composition according to claim 55, wherein said immuno-suppressing compound is a cytokine chosen from the group consisting of IL-4, IL10, IFN- α , Flt3L, TGF β and RANK-L.
58. The composition according to claim 55, wherein said immuno-suppressing antibody is
5 chosen from the group consisting of anti-IL-2, anti-IL12 and anti-IFN- γ .
59. The composition according to any of claims 45 to 58, wherein said micro-organism is a probiotic micro-organism.
- 10 60. The composition according to any of claims 45 to 59, wherein said micro-organism is a bacterium or yeast.
61. The composition according to claim 60, wherein said bacterium is a lactic acid bacterium.
- 15 62. The composition according to claim 61, wherein said lactic acid bacterium is chosen from the group consisting of *Lactobacillus*, *Leuconostoc*, *Pediococcus*, *Lactococcus*, *Streptococcus*, *Aerococcus*, *Carnobacterium*, *Enterococcus*, *Oenococcus*, *Teragenococcus*, *Vagococcus*, and *Weisella*.
- 20 63. The composition according to claim 62, wherein said lactococcus is *Lactococcus lactis*.
64. The composition according to claim 60, wherein said yeast is *Saccharomyces cerevisiae*.
65. The composition according to any of claims 45 to 64, wherein said antigen and said
25 immuno-modulating compound are expressed by the same micro-organism.
66. The composition according to any of claims 45 to 65, further comprising an adjuvant, pharmaceutical acceptable carrier and/or excipient.
- 30 67. The composition according to any of claims 45 to 66, further comprising a compound stimulating production of immuno-suppressing cytokines.
68. The composition according to claim 67, wherein said compound stimulating production of immuno-suppressing cytokines is cholera toxin B subunit.

69. The composition according to any of claims 45 to 68, wherein said antigen and/or said immuno-modulating compound secreting micro-organism are present in a dose of at least at least 10 femtogram to 100 mg.
- 5 70. A method for inducing immune tolerance to an antigen in an animal, comprising mucosal delivery of said antigen, in combination with mucosal delivery of an immuno-modulating molecule expressing micro-organism.
- 10 71. A method for treating an immune response related disease in a mammal in need thereof, comprising mucosal delivery of an antigen in combination with mucosal delivery of an immuno-modulating compound secreting micro-organism.
- 15 72. A medicament, nutraceutical or medical food for treating, preventing and/or alleviating a disease or disorder involving an immune response related disease or inducing immune tolerance comprising at least an antigen in combination with an immuno-modulating compound secreting micro-organism.

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FIG 1

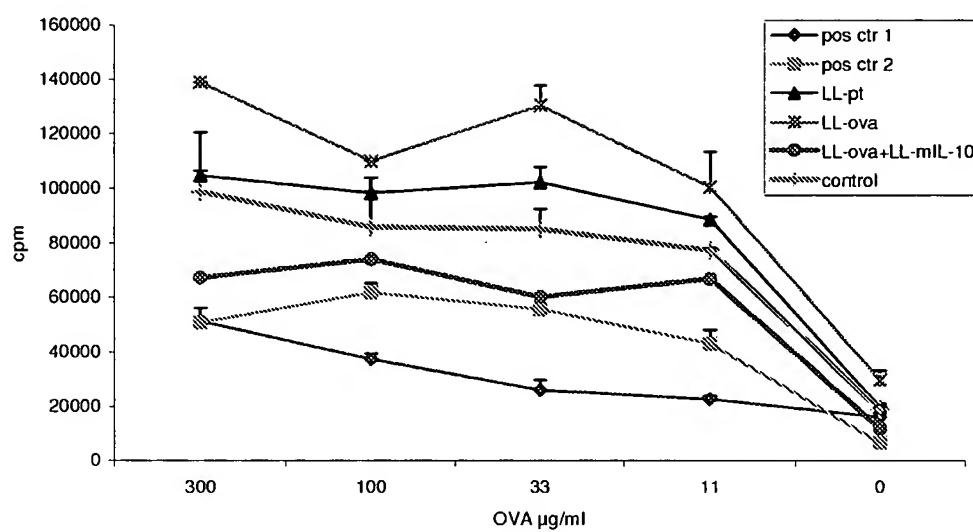
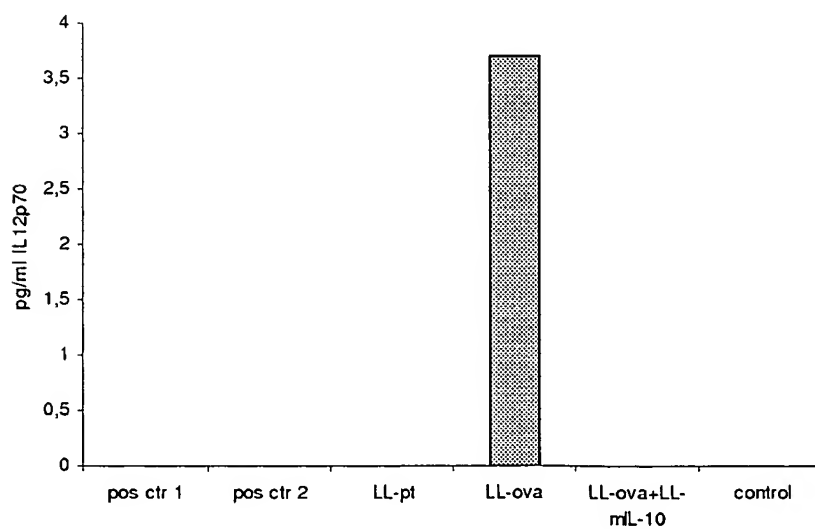


FIG 2A (a)



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FIG 2A (b)

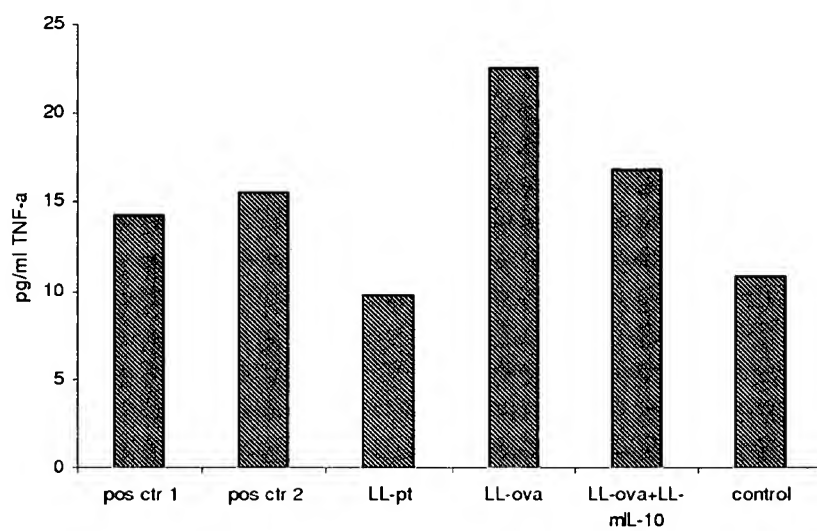
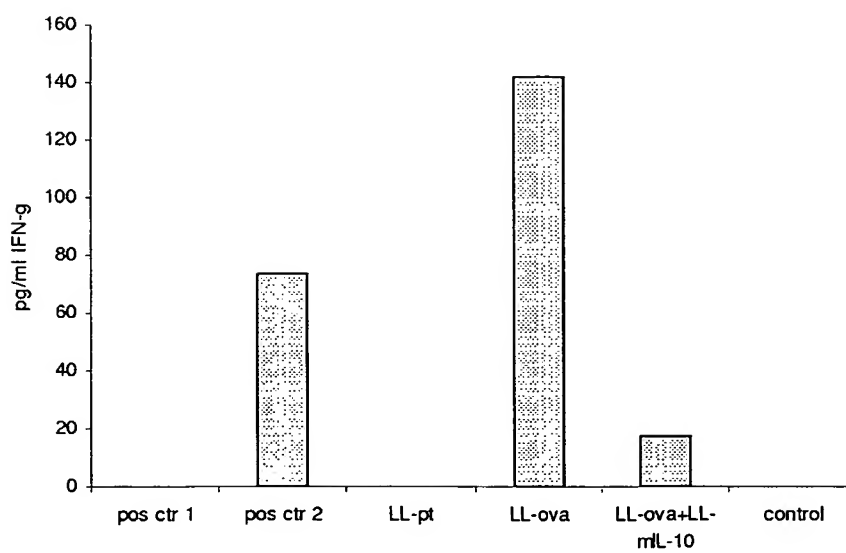


FIG 2A (c)



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FIG 2A (d)

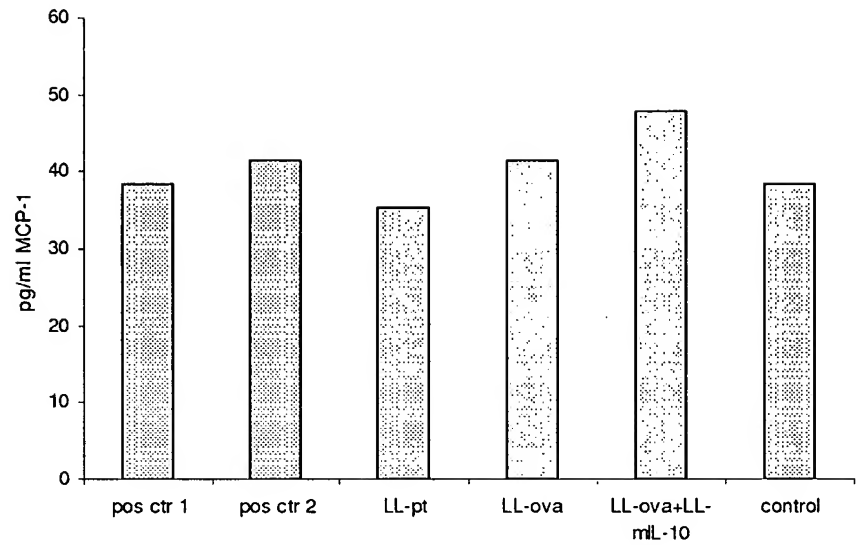


FIG 2A (e) no IL-10 was detected

FIG 2A (f)

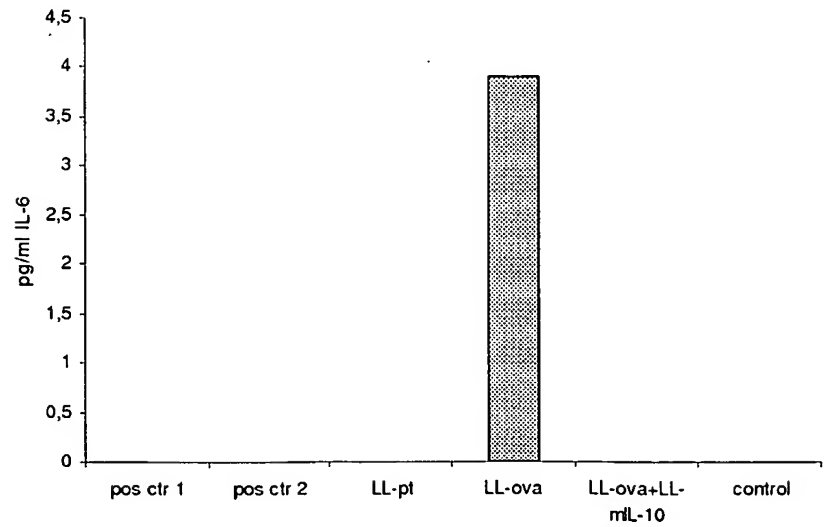


FIG 2B (a) no IL12p70 detection

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FIG 2B (b)

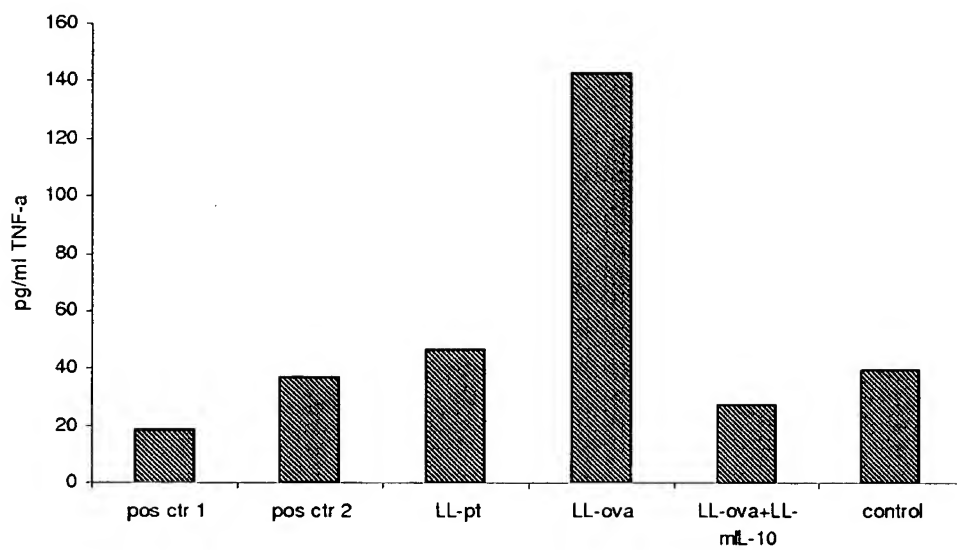
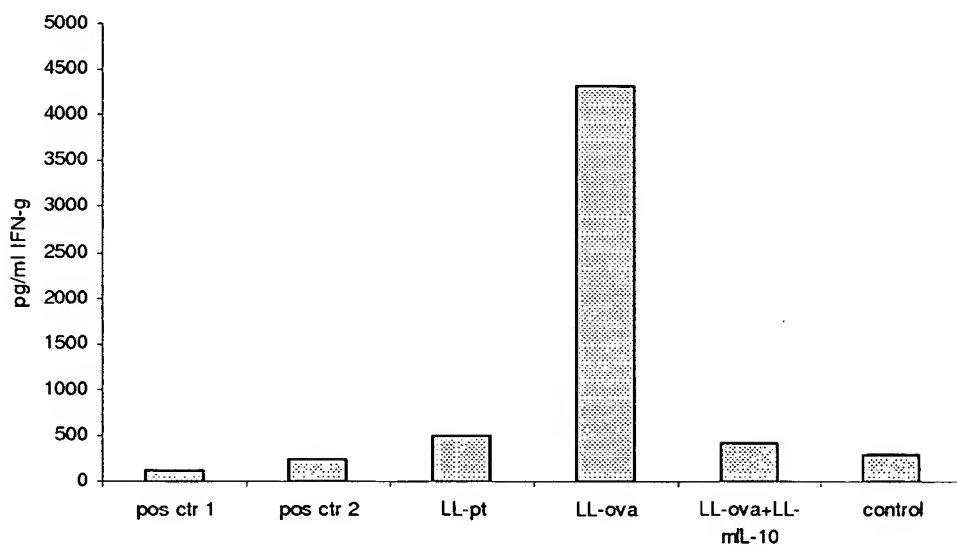


FIG 2B (c)



5/6

FIG 2B (d)

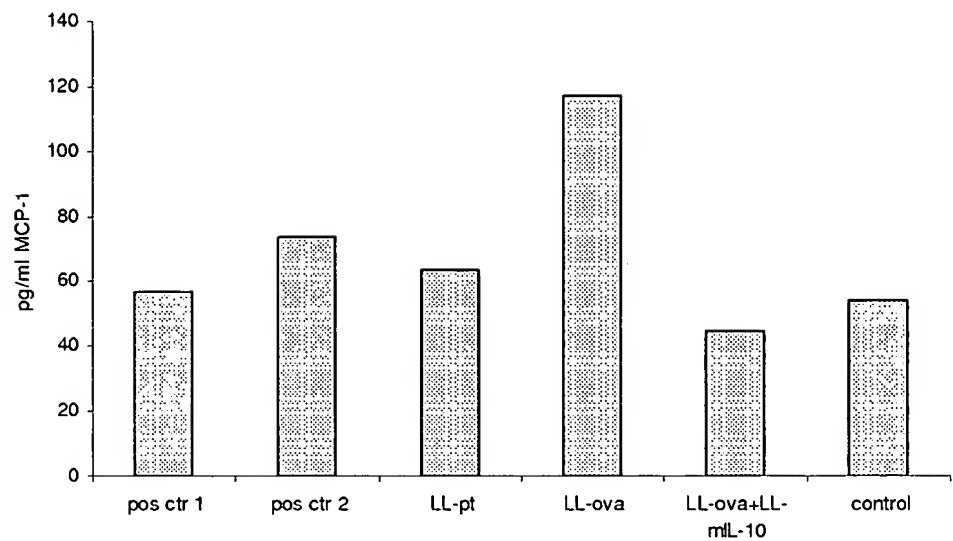
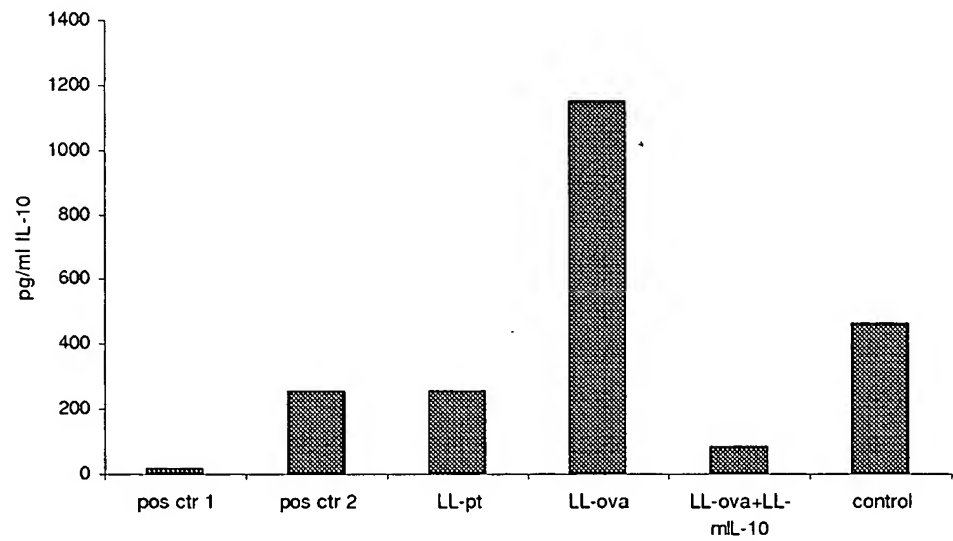


FIG 2B (e)



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FIG 2B (f)

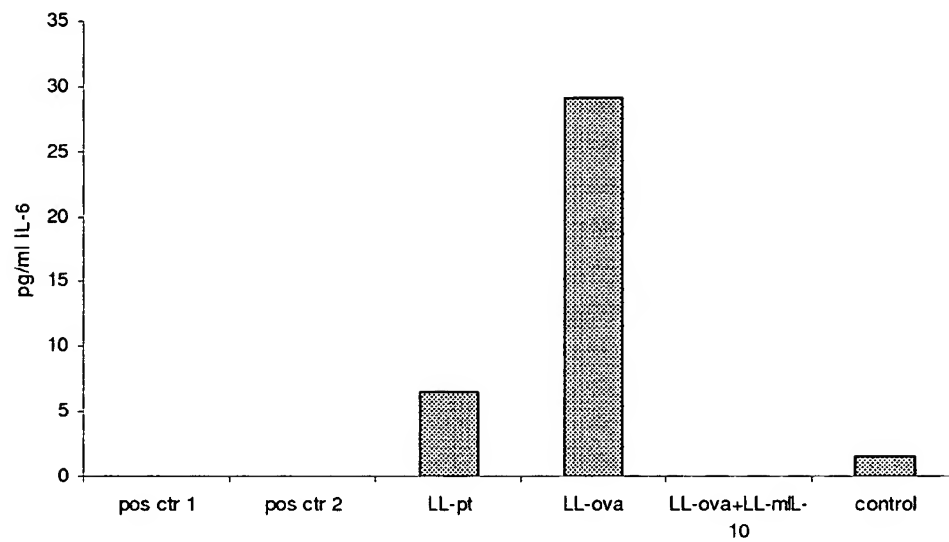
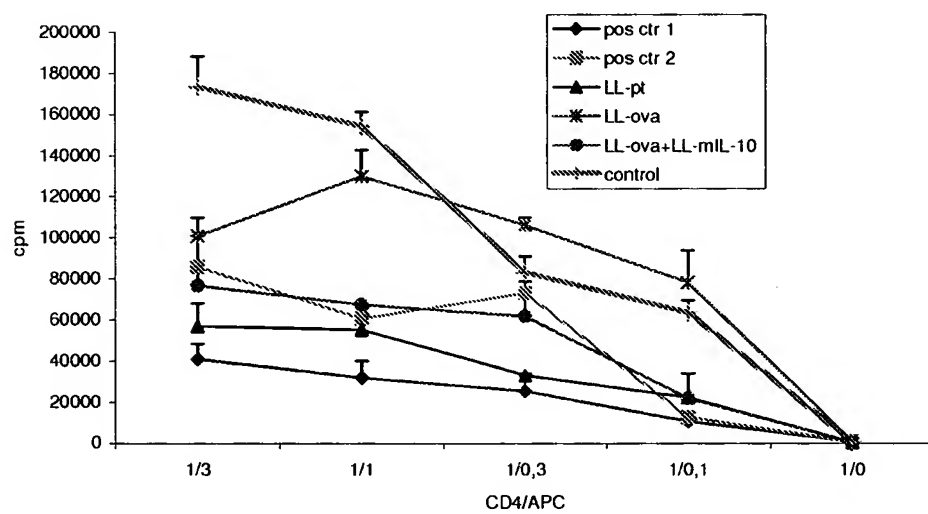


Fig. 3



INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2006/069062

A. CLASSIFICATION OF SUBJECT MATTER
INV. A61K39/00 A61K48/00 A61K35/74

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, EMBASE, BIOSIS, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>BERMUDEZ-HUMARAN L G ET AL: "Intranasal immunization with recombinant Lactococcus lactis secreting murine interleukin-12 enhances antigen-specific Th1 cytokine production"</p> <p>INFECTION AND IMMUNITY, AMERICAN SOCIETY FOR MICROBIOLOGY. WASHINGTON, US, vol. 71, no. 4, April 2003 (2003-04), pages 1887-1896, XP002346262</p> <p>ISSN: 0019-9567</p> <p>abstract</p> <p>page 1888, right-hand column, paragraph 2</p> <p>- page 1890, right-hand column, paragraph 1</p> <p>page 1893, right-hand column, last paragraph - page 1894, left-hand column, paragraph 1</p> <p style="text-align: center;">----- -/--</p>	1-72

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

2 April 2007

Date of mailing of the international search report

18/04/2007

Name and mailing address of the ISA/
European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax. (+31-70) 340-3016

Authorized officer

Ulbrecht, Matthias

INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2006/069062

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	STEIDLER L ET AL: "Mucosal delivery of murine interleukin-2 (IL-2) and IL-6 by recombinant strains of Lactococcus lactis coexpressing antigen and cytokine" INFECTION AND IMMUNITY, AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON, US, vol. 66, no. 7, July 1998 (1998-07), pages 3183-3189, XP002105819 ISSN: 0019-9567 abstract page 3184, left-hand column, paragraph 3 - right-hand column, paragraph 3	1-72
A	MAASSEN C B M ET AL: "Reduced experimental autoimmune encephalomyelitis after intranasal and oral administration of recombinant lactobacilli expressing myelin antigens" VACCINE, BUTTERWORTH SCIENTIFIC, GUILDFORD, GB, vol. 21, no. 32, 1 December 2003 (2003-12-01), pages 4685-4693, XP004469685 ISSN: 0264-410X abstract page 4686, right-hand column, paragraph 2	1-72
A	SLAVIN A J ET AL: "Mucosal administration of IL-10 enhances oral tolerance in autoimmune encephalomyelitis and diabetes." INTERNATIONAL IMMUNOLOGY JUN 2001, vol. 13, no. 6, June 2001 (2001-06), pages 825-833, XP002427638 ISSN: 0953-8178 abstract	1-72
A	EP 1 359 220 A (CANADA NATURAL RESOURCES [CA]; LONDON HEALTH SCIENCES CT RES [CA]) 5 November 2003 (2003-11-05) example 6 claims 19-27	1-72
A	EP 1 364 586 A (NESTEC SA [CH]) 26 November 2003 (2003-11-26) example 1 claims 1-35	1-72
A	WO 02/090551 A (VLAAMS INTERUNIV INST BIOTECH [BE]; STEIDLER LOTHAR [BE]) 14 November 2002 (2002-11-14) example 1 claims 1-10	1-72
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INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2006/069062

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>BATTAGLIA MANUELA ET AL: "IL-10-producing T regulatory type 1 cells and oral tolerance." ANNALS OF THE NEW YORK ACADEMY OF SCIENCES DEC 2004, vol. 1029, December 2004 (2004-12), pages 142-153, XP009081544 ISSN: 0077-8923 the whole document</p> <p>-----</p>	1-72
A	<p>MOWAT A M: "Basic mechanisms and clinical implications of oral tolerance." CURRENT OPINION IN GASTROENTEROLOGY NOV 1999, vol. 15, no. 6, November 1999 (1999-11), page 546, XP009081553 ISSN: 0267-1379 the whole document</p> <p>-----</p>	1-72

INTERNATIONAL SEARCH REPORT

International application No.
PCT/EP2006/069062

Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
Although claims 70 and 71 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2006/069062

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EP 1364586	A	26-11-2003	AU 2003242557 A1	12-12-2003
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